



UN-HABITAT

Agenda item 11:

Overview of the Report of the Office of Internal Oversight Services (OIOS), Part I, (1 July 2023 – 30 June 2024) and Summary of Oversight Activities carried out by OIOS in 2023 – 2024 on UN-Habitat

Executive Board of UN-Habitat: Second session for the year 2024

4 – 6 December 2024

Agenda Item 11: Overview of the Report of OIOS

INTRODUCTION

- ❑ In **paragraph 7** of its **decision 2024/4**, the Executive Board of UN-Habitat decided to include in the **provisional agenda** for its **second session of 2024** an item on the **report of the Office of Internal Oversight Services (OIOS)** to the Executive Board, (**item 11**), and an item on the report of the Ethics Office to the Executive Board (**item 12**).
- ❑ Pursuant to that decision, the **pre-session document** was prepared and shared with the Executive Board members together with the Report on Ethics. This **present document** provides an overview and references to the **report of OIOS** on its activities for the period from **1 July 2023** to **30 June 2024**, **A/79/309, (Part I)**, and **A/79/309, (Part I)/Add.1**.

Agenda Item 11: OIOS Mandate

- ❑ OIOS derives its mandate from **General Assembly resolutions and decisions**, including resolutions **48/218 B**, **54/244**, **59/272**, **64/263**, **69/253** and **74/257**, in response to a call for the broad strengthening of oversight activities within the United Nations. OIOS was established in **1994** with the ability to exercise operational independence in the conduct of its oversight duties under the authority of the Secretary-General.
- ❑ The Office is **operationally independent** in carrying out its activities and reports directly to the **Secretary-General and the General Assembly**.
- ❑ It has the authority to **initiate**, **carry out**, and **report** on any **action it considers necessary to fulfil its oversight responsibilities** with regard to **monitoring, internal audit, inspection, evaluation** and **investigations**.
- ❑ In line with its **accountability framework**, UN-Habitat recognizes that **accountability is vital for effective, efficient and transparent management**, and that it requires attention and a strong commitment from its governing bodies, senior management and all personnel.

Agenda Item 11: OIOS Relationship with UN-Habitat

- ❑ The OIOS Office engages in **interagency coordination** and **liaison, sharing knowledge on good practices** and **innovations** in **oversight methodologies** with the other **internal oversight functions** of the United Nations system through the **meetings of the Representatives of Internal Audit Services of the UN Organizations, the UN Representatives of Investigative Services** and the **UN Evaluation Group** which include UN-Habitat representatives.

- ❑ OIOS receives **effective cooperation** from the **management** and **staff of UN-Habitat, effective engagement with the senior leadership** of UN-Habitat while conducting the following activities:
 - (i) **Audits**
 - (ii) **Inspection and Evaluation**
 - (iii) **Investigations**

OIOS Audits Activities related to UN-Habitat in 2023/24

☐ There were no OIOS audits of UN-Habitat for the period **1 July 2023** to **30 June 2024**.

☐ From **1 July 2024** to date, two (2) OIOS audits are ongoing which are:

- a) The **UN-Habitat Specific Audit on Implementing Partnership, (IP), Management**, focusing on UN-UN-Habitat IP Management, (expected date **31 December 2024**);
- a) The **United Nations Secretariat-Wide Audit on Umoja IP Management** – UN-Habitat is part of a “**sample**” of **eleven (11) UN entities** that OIOS is reviewing as part of the UN Secretariat-wide Audit, (expected date **31 May 2025**).

OIOS Evaluation Activities related to UN-Habitat in 2023/24

□ OIOS conducted the following evaluations relevant to UN-Habitat in **2023** and in **2024** to date:

- a) A **thematic evaluation** of the United Nations Secretariat support for the Sustainable Development Goals, focusing on responsiveness to Member State needs and strengthening their capacity to achieve the Goals (**E/AC.51/2023/3**);
- b) A **thematic evaluation** of the **youth and peace and security agenda** (**E/AC.51/2023/7**);
- c) A **midterm evaluation** of the UN-Habitat **strategic plan 2020–2025** (IED-24-009) in **April 2024**
- d) The details of **OIOS evaluation activities** related to UN-Habitat and **recommendations** shall be covered under **Agenda Item 13**.

OIOS Investigation Activities related to UN-Habitat in 2023/24

- ❑ UN-Habitat **fully cooperates** with OIOS in the **Investigations of complaints** and **unsatisfactory conduct** brought by **UN staff members** and **Member states, partners and other stakeholders** in accordance with established procedures and relevant Administrative Instructions.
- ❑ UN-Habitat **refers all complaints to OIOS** for **preliminary determination** of the merits of the cases.
- ❑ If OIOS considers that the **matter is best handled by UN-Habitat** it refers it back to the **Executive Director**, and, in such a case, the **Executive Director conducts preliminary reviews** of the case and decides whether to **investigate the case further** by setting up an **investigative panel** or **instituting the necessary appropriate actions**.

NOTE: The details of the cases are handled pursuant to the above procedures and are outlined in the **Ethics Report which will be presented in Agenda Item 12.**

CONCLUDING REMARKS AND RECOMMENDATIONS

- ❑ The Report **acknowledges** the **close cooperation** between the **Secretariat** and **OIOS** and other oversight bodies within the UN system including **encouraging the Executive Director to continue such cooperation.**

Thank you!

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