

# 2018-2019 ANNUAL REPORT











**MYANMAR** 

#### NATIONAL URBAN POLICY PROGRAMME 2018-2019 ANNUAL REPORT

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# NATIONAL URBAN POLICY PROGRAMME

# 2018-2019 ANNUAL REPORT







I.R. IRAN MYANMAR

NIGERIA

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The work of UN Habitat on National Urban Policies (NUPs) is rooted in the Governing Council resolution HSP/GC/24/L.6 which "requests the Executive Director in consultation with the Committee of Permanent Representatives to develop a general g framework for the development, where appropriate of national urban policies, based on international good experiences, to further support member states when developing and improving their urban policies". Additionally, the Governing Council resolution HSP/GC/25/L.12 "reguests the Executive Director to further strengthen partnerships, peer learning and a community of practice approach on national urban policies as means of supporting national and local governments as they develop and implement policies."

UN Habitat has been working to support the development and implementation of NUPs globally for the past six years. Over this period, and in consultation with partners, UN Habitat has consolidated normative knowledge and developed tools that support countries with undertaking the NUPs process.

With the adoption of global frameworks such as Agenda 2030, the New Urban Agenda, the Paris Agreement and the Sendai Framework, NUPs have been identified as a key tool to support the implementation and monitoring of the global urban agenda

The New Urban Agenda has given explicit emphasis to the importance of NUPs for sustainable urbanisation and commits to taking measures to enhance the ability of governments to develop and implement such over-arching policies. Subsequently Habitat has elaborated the Action Framework for the Implementation of the New Urban Agenda (AFINUA), providing a non-exhaustive list of the foundational elements required for urbanisation including a central role for NUPs.

During the Habitat III Conference in Quito, Ecuador, UN-Habitat, OECD, and Cities Alliance launched the National Urban Policy Programme (NUPP). The joint initiative aims to contribute to the successful implementation of the New Urban Agenda an achieving sustainable and prosperous human settlements for all, leaving no one behind, through the development of NUPs. With the signing of a Memorandum of Understanding between the Republic of Korea and UN Habitat at the Habitat III Conference October 2016, Korea committed to support the development of the National Urban Policy Programme through providing financial support for Phase One of this Programme.

The launching of the pilot phase of the National Urban Policy Programme: Developing NUPs and Smart City Strategies in Three Selected Countries, supporting by the Ministry of Land, Infrastructure and Tourism, Republic of Korea began this year, 2 purpose of this project will be to develop National Urban Policies and Smart City Strategies in the three pilot countries, Myanmar, Iran, and Niger State (Nigeria).

#### The project aims to:

- i. Enhance capacity of sub-national and national governments in the three pilot countries to develop, implement, and monitor and evaluate national urban policy (NUP and SUP) and develop smart city strategies.
- ii. Increase centralization of knowledge and tools on the development, implementation, and monitoring and evaluation of urban policy (NUP and SUP) and smart city strategies.
- iii. Provide augmented opportunity for knowledge sharing and peer learning activities on urban policy (NUP and SUP) and smart city strategies.

Through the processes of developing the policies and strategies the pilot phase of the National Urban Policy Programme will endeavor to build capacity to develop, implement and monitor and evaluate urban policy in the pilot countries, implement th through demonstration project identified using a smart city approach, and provide for knowledge creation, knowledge exchange on urban policy through an online platform and in person conferences and workshops.

Since the launching of this project in July 2017, there has been significant progress, both at the global level of the programme, and at the country level. The next section (I) presents the global level activities and recruitments that were conducted in 2 up to Q4 2019, and the following (II) outlines country level backgrounds, and activities and staffing for 2018-19 for each of the project countries, Iran, Myanmar, and Niger State (Nigeria).

This report also provides updates of the previous report on the implementation of the National Urban Policy Programme that was submitted in the July-December 2017 reporting cycle.

More details and pictures have been included to give a better overview of the events undertaken during this period. Equally, the work programme per each country has been embedded to provide an overview of the activities expected to be conducted in the coming months.

### **GLOBAL DEVELOPMENT**

#### **MAIN ACTIVITIES UNDERTAKEN IN 2018-19**

Activities: Second NUP/ Smart City exchange visit, June 2019

The 2019 Korea exchange visit for NUP programme focused on the support to three pilot countries - Iran, Myanmar, Niger State (Nigeria) - in developing smart city strategies that are embedded with their NUPs. A smart city strategy should enable ta cities in three countries to adopt smart city approaches that make use of opportunities from sustainable urban planning, digitalization, clean energy and technologies.

The visit would also be an opportunity to exchange experiences and notes on the p undertaken in each country and learn from inspiring practices. Therefore, it aims to overcome the capacity gap that states can be faced with in the NUP process by providing tools and technical assistance to governments and stakeholders.

#### Specifically, this Exchange Visit to Korea will have three main objectives:

- 1. Augment the capacity of policy makers to develop smart city strategies through the provision of capacity development sessions and through site visits within Korea to relevant locations.
- 2. Share knowledge and encourage peer learning between the project countries and the Government of South Korea, as well as between the project countries themselves.
- 3. Prepare action plan for fast-tracking the implementation of the projects in each respective country.

Finally, participants were engaged in a workshop on preparing a National Urban Policy and Smart City Strategy Action Plan, during which they began identifying their challenges and proposing appropriate solutions.



#### **Outcomes and next steps**

During the workshop, country participants prepared a draft roadmap for future directions in the development of the project, detailing necessary actions and associated human and financial resources. They were also then asked to finalize those into more concrete action plans for the development of a National Urban Policy and Smart City Strategy.

Once reviewed and validated in country and with U-Habitat, these action plans provide the basis for the implementation of the programme in each country. The Korea Land and Housing Corporation is preparing the full report of the exchange visit which will be available in 2018.

#### International Conference on National Urban Policy (ICNUP 2019), October 2019

The ICNUP is one of the key activities of the National Urban Policy Programme, a joint global initiative of Cities Alliance, UN-Habitat and the OECD to develop and implement relevant frameworks to manage urban development. The event brought together highlevel officials, policymakers, academics and

urban stakeholders, to discuss how NUPs can contribute to sustainable urban development and climate-resilient cities. In October 2019, the third ICNUP conference was held in Nairobi, Kenya. It attracted about 200 participants from around the world.

#### Recruitments

At the global level, only one consultant, expert on National Urban Policy, was hired to support the project coordination in the three pilot countries;

**Mr. Dennis Mwamati**, Urban Policy Specialist (consultant) from October 2019 – April 2020, at the UNH HQ.



#### **Next Steps**

In the coming months, the implementation of the NUPP in the three countries from the HQ shall focus on activities aligned with the project time plan for Q1 to Q4 2020.

These include;

- a. In Q1-Q4 2020, in line with EA 2.2, Develop the next annual project reports,
- b. Q1-Q3 2020, in line with EA 2.3 National Urban Policy Programme knowledge management and sharing plan
- c. Q1-Q4 2020, In line with EA 3.1 Organization of International Meetings (Forum, Seminar, EGM) on National Urban Policy
- d. Through 2020, 3.3 Conduct NUP stakeholders' workshops by gathering data for consultative workshops and Development of programme agenda and supporting tools for the workshops
- e. Starting Q3 2020, and under EA 2.1, begin the Development of toolkits to support the monitoring and evaluation of NUP, specifically, the completion of case studies on M&E of NUP.



#### 1. I.R. IRAN 1.1. Background The Islamic Republic of Iran is among the Although there are some plans world's oldest civilizations and the practice programmes at different levels that or urbanisation and urban planning can be determine the overall urban policies. traced back to many centuries ago. In the urban planning system in Iran follows no course of history, different socio-political specific integrated national policy. NUP issues have led to changes in the social fabric addresses issues such as demographic of the country. Accelerated urbanization and changes and social development, urban concentration of urban population in larger economy and finance, housing and informal urban agglomerations is becoming a distinct settlements, transportation, basic services feature of Iran's urbanization phenomenon. and infrastructures, land management, The current urban population of the country environmental sustainability and climate is around 59 million people with the 74% of change. urbanization rate. In order to provide a solution to the identified Urban planning in Iran is based on issues and also to capitalize on the approximately 50 years' experience. But after opportunities, the NUP will aim to bridge this passing these many years, Iran still facing capacity gap by augmenting the capacity serious urban issues. This means that urban of stakeholders in Iran. It will also endeavor planning process could not get to its point to provide tools to governments and efficiently. Lack of integrated national views stakeholders in order to allow them to build and policies is one of the deficits through these the specialized skills required to develop a years of planning. Due to the demographic coordinated approach to policy development changes, the economy of Iran has had and ultimately, clear policy directions and remarkable social, economic, and cultural goals. The National Urban Policy (NUP) for transformation. The urban environment is the Islamic Republic of Iran will go a long way thus in need of requisite policies to respond in addressing most issues in the urban and to diverse emerging issues in the urban and territorial development scene. It is also a tool territorial development scene. to support the implementation of the New Urban Agenda (agreed upon by I.R. Iran in Quito, Ecuador, on 20 October 2016).

#### **ACTIVITIES UNDERTAKEN IN 2018-19**

The negotiation between the UN-Habitat HQ and Tehran Office started in early 2017 and I.R. Iran was selected as one of the pilot countries for the NUP Programme. The first phase of the project was the preparation of the Diagnostic Report. For this purpose, a consultancy body was selected in December 2017 through a competitive process and they started the preparation of the Diagnostic Report in January 2018. The Discussion Paper and a Diagnostic Report were prepared and launched through

three national workshops with the participation of National Habitat Committee members and other partners of UN-Habitat in Iran. National Habitat Committee has been formed in partnership and guidance of the Ministry of Roads and Urban Development (MoRUD) and consists of representatives from all UN-Habitat stockholders in Iran. Third workshop was held in a participatory way and in collaboration with the HQ representatives, ended with launching the Diagnostic Report (10 December 2018).

Activities undertaken for this purpose over this period includes:

- Forming the National Habitat committee;
- Organizing meetings with partners such as NUP advisory committee, MoRUD, and National Habitat Committee;
- Preparing the ToR, selecting and recruiting consultant for the "Diagnostic Report". For this
  purpose, Ms. Guiti Etemad was selected in December 2017 through a competitive process
  and they started the preparation of the Diagnostic Report in January 2018.
- Organizing regular meetings with the consultant, technical support for revising the report and transferring feedbacks to the consultant;
- Technical review and modification of prepared reports by UN-Habitat, Tehran office and supporting the project consultants for better delivery of project goals;
- Finalizing the discussion paper of NUP;
- Holing the first in-country workshop, gathering the feedbacks from National Habitat Committee (21 January 2018);
- Holding the second in-country workshop, presenting the first version of the diagnostic report and gathering the feedbacks from National Habitat Committee (12 March 2018);
- Organizing a three-day international mission from UN-Habitat HQ;
- Holding the third workshop in partnership with UN-Habitat headquarter representatives
- Approval and finalizing the Diagnostic Report (10 December 2018);
- Launching the Diagnostic Report of NUP;

- Preparation the advocacy and communication materials such as brochures, press releases, and NUP issue papers based on the diagnostic report;
- Preparation of the book chapter on "National Urban Policy in I.R. Iran";
- Introducing the NUP framework in workshops and events such as the 18th conferences on housing development policies, New Town, Smart Town conference;
- Facilitation and organization of two exchange visit to Korea, a mission from UN-Habitat HQ in Nairobi, Kenya to I.R. Iran and facilitating Iran's participation in the 2nd NUP International Conference.
- Drafting and finalizing the NUP project document considering received comments from the partners;





» The First and the second in-country workshops with participation of the National Habitat Committee to develop the Diagnostic Report



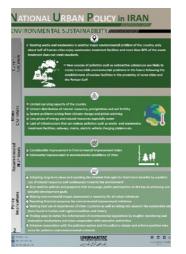


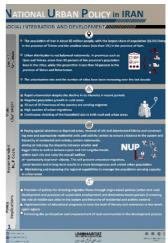




» Three-day international mission from UN-Habitat HQ and launching the Diagnostic Report of NUP

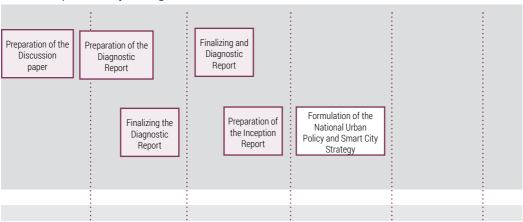




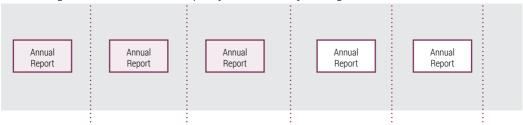


» Preparation of the NUP issue papers extracted from the Diagnostic Report

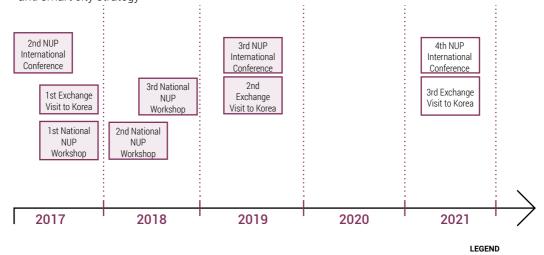
EA1: Enhanced capacity to develop, implement, and monitor and evaluate national urban policy and develop smart city strategies



EA2: Increased centralization of knowledge and tools on the development, implementation, and monitoring and evaluation of urban policy and smart city strategies



EA3: Augmented opportunity for knowledge sharing and peer learning activities on urban policy and smart city strategy



Actions Taken Following Steps

» NUPP progress regarding the expected accomplishments of the programme

In the second phase of the NUP programme in I.R. Iran, it is agreed to develop the National Urban Policy and Smart City Strategy Document from July 2019 to July 2020. Since July 2019, the Project Document has been finalized and signed by the MoRUD on 29 July 2019 and the Inception Report has been drafted and sent to the MoRUD. After a series of meetings, negotiations and arrangements with the MoRUD, two high level Steering Committee (SC) meetings were held on 11 November and 16 December 2019 in order to:

- Guide on scientific aspects of the project in the fields of policy making, urban planning, urban development, etc.;
- Guide on the procedural and organizational aspects of the project;
- Guide on Localizing the project by identifying the needs and challenges of the country;
- Making the connection and common understanding between consultants and stakeholders.

## Main achievements of this step could be summarized as follows:

- Approval of the Project Document by the MoRUD;
- Reparation of the Inception Report;
- Updating the list of NUPP potential partners;
- Drafting the layout and framework of the "National Urban Policy and Smart City Strategy Document";
- Developing the first draft of the NUP implementation strategy;
- Preparation of the Senior Urban Policy consultant's ToR;
- Holding two SC meetings;
- Preparation of the implementation strategy of the project;
- Delivering Quarterly Project Reports on NUPP to UN-Habitat HQ;





» Steering Committee meetings





#### 1.2. Next Steps

For the next step, it is expected to recruit a consultant to develop the National Urban Policy and Smart City Strategy Document and hold a national workshop. The following activities have been defined for the next six months:

- 1. Recruitment of the project consultants;
- 2. Drafting the NUP and Smart City Strategy document;
- 3. Delivering Quarterly Project Reports on NUPP to UN-Habitat HQ;
- 4. Holding a national workshop on smart cities integrated with NUP;
- 5. Completion of annual M&E annual report.



#### 1.3. Activities Plan

The breakdown activities of the NUPP to develop the National Urban Policy and Smart City Strategy Document are as follows:

- 1. Recruitment of the project consultants
  - 1.1. Preparation of a Terms of Reference (ToR) to hire consultants for drafting the document
  - 1.2. Advertising the consultancy position
  - 1.3. Recruitment process to select a consultancy team

#### 2. Development of the NUP document

- 2.1. Holding a briefing meeting with consultants and the advisory committee to start the formulation of the NUP document
- 2.2. Data collection by consultants (including data collection to support the elaboration of smart city strategy)
- 2.3. Holding regular consultative meetings and workshops with attendance of National Habitat Committee members and other stakeholders, interviews with key decision makers and professionals, etc.
- 2.4. Periodic meetings with consultants (to confirm and validate data collection including Smart City data)
- 2.5. Monthly meetings with MoRUD
- 3. Delivering Quarterly Project Reports on NUPP to UN-Habitat HQ
- 4. Holding a national workshop
  - 4.1. Facilitation the smart city national workshop (drafting the agenda, concept note and other materials)

- 4.2. Smart city national workshop
- 4.3. Preparation the workshop report
- 4.4. Applying the participants' feedbacks derived from the workshop into the draft version of NUP document
- 5. Drafting the NUP and Smart City Strategy document
  - 5.1. Completing the NUP and Smart City Strategy draft document
  - 5.2. Receiving comments from potential partners on NUP and Smart City Strategy draft document, applying them and finalize the draft document
- 6. Completion of the annual report of NUP highlighting major milestones achieved in year 2019
- 7. Completion of annual M&E annual report

#### 2. MYANMAR

#### 2.1. Background

The rate of urbanization in Myanmar remained very low in the past. However, due to economic and political liberalization the urban population is expected to increase from 15.4 million (30%) of total population) in 2014 to 18.8 million to 2030. Compared to other developing countries in South-east Asia the rate of urbanization in Myanmar is not as amplified, but much of the urban population in Myanmar is concentrated in three major cities. This suggests Myanmar's economic and spatial development currently stands at a crucial period and negligence to address this aspect of urban development can increase and worsen the challenges of urbanization for the future. To address these challenges, the Government of Myanmar and UN-Habitat had agreed to collaborate on the development of a National Urban Policy under the funding of Norway back in 2014 and had initiated into the feasibility and diagnosis stage which resulted in preparation of an urban policy note and a Rapid Urban Diagnostic Report.

After the Habitat III, United Nations conference on Housing and Urban Development in October 2016, the then Union Minister of Construction announced to implement the National Urban Agenda (NUA) in Myanmar. The development of the National Urban Policy since then, has attained a national developmental priority in Myanmar.

In response to the adoption of the National Urban Agenda and based on the findings of the Rapid Urban Diagnostic Report (RUDRMYA), a National Urban Policy Framework (NUP-F) was drafted in early 2017 supported by further background studies such as the National Housing Policy and Strategy and comprehensive study on slums. This was done in consultation with national urban experts and relevant stakeholders.

The policy development process is now being taken forward with the financial support of the Republic of Korea under the project "Pilot Phase of the National Urban Policy Programme: Developing NUPs and Smart City Strategies in Three Selected Countries". Under this pilot, the NUP-F of Myanmar has been consulted, validated and updated with national and sub-national entities (State and Region Governments) and with the relevant line Ministries as well as stakeholders in civil society and development partners in the urban sector. After a series of workshops and discussions with urban experts, the National Urban Policy of Myanmar will focus on addressing the below mentioned eight policy priority themes namely; Municipal Governance and Finance, Urban Legislation, Land Governance, Housing, Environment and Climate Change, Balanced Spatial Distribution, Socio-economic development and Infrastructure and service provision. Furthermore, the Government of Myanmar and UN-Habitat under this programme are focusing on producing a smart city strategies framework which will align with the National Urban Policy of Myanmar.

#### 2018-19 DEVELOPMENTS

# Sub-National Consultation Workshop on National Urban Policy Framework, June 2018

The primary objective of the consultation workshop was to begin wider consultation on the National Urban Policy formulation. The workshop held on 4 June 2018, was a consultation with the representatives from sub-national governments (state/region Government) to discuss the NUP-Framework and to validate key issues to address in the National Urban Policy priorities.

The workshop focused on discussions on five priority thematic areas and policy interventions as suggested in the existing NUP-Framework and its validation. Secondly, this was the first workshop to disseminate information on the initiation of establishing a National Urban Policy Committee to oversee the implementation and formulation of National Urban Policy.





#### **Development Partners Meeting, June 2018**

UN-Habitat held a development roundtable on 6 June 2018 with a few development partners on the National Urban Policy of Myanmar. The participants included ADB, World Bank, JICA and UNOPS. The partners were presented the national urban policy framework with the objectives; a)

To what extend will the Myanmar NUP be able to unlock potentials and support beyond what the government can formally demand? And b) What potential exists to increase and maintain momentum to support implementation of the Myanmar NUP.

#### Capacity Development Training for Ministry of Construction, December 2018

As part of the National Consultation workshop, UN-Habitat conducted a Capacity Development Training one day prior to the national workshop on 13 December 2018. Considerable progress has been achieved in the past in raising awareness and making the case for an NUP for Myanmar. Leadership by the government is one of the outstanding achievements.

However, there are still open questions on how to organize the NUP process. Therefore, the government asked for an additional training 30 staff and experts from the Ministry of Construction and facilitated by UN-Habitat. Taking place one day prior to the National Workshop on 14 December the capacity development training on 13 December has the character of a training of trainers since it aims at preparing representatives of the government

for their leadership role in upcoming phases of the NUP process in Myanmar. The training workshop aims not only at further raising awareness but at a better understanding on the coordination of the process, phases, participation and product delivery during the NUP process.



UN-Habitat Senior Policy Expert Ulrich Graute during training DUHD experts at the Capacity Development Training, 13 December 2018, DUHD office Nay Pyi Taw

#### National Consultation workshop, December 2018

The National Consultation was scheduled on 14 December 2018 in Nay Pyi Taw. The attendees of the workshop were from parliamentarians from relevant parliamentary committees, high representatives from Nay Pyi Taw Council and the fourteen States and Regions, the Director

General and senior officials of the Department of Urban and Housing Development, Development partners, representatives from embassies, professional associations and representatives from UN-Habitat Myanmar Office and UN-Habitat HQ.



#### The primary objectives of this workshop were:

- The validation of the National Urban Policy Framework (NUP-F)
- The establishment of the National Urban Committee as advisory board: validating the TOR and formal initiation of the NUC
- Discussing and validating the revisions and additions needed for the Diagnosis Phase.

- Next steps towards the Diagnosis and Formulation phase of NUP programme.
- The Key Results of the workshop were as follows:
- The formal validation of the National Urban Policy Framework by the participants and closing the Feasibility stage of the NUP programme.
- The initiation of dialogue between the high officials on the National Urban Committee.
- Discussions and revisions on each priority theme that formally became a guide to move forward to the diagnosis report.
- The workshop also resulted in embarking into the Diagnosis and the Formulation Phase and developing the key next steps that highlighted tentative timeline of the launch of NUP which were agreed between DUHD, MoC and UN-Habitat.

[Workshop Report Available]





- » (Left) Panelists discussing their view on the importance of a National Urban Policy for Myanmar and how they can engage their practice in the process. Panelists included UN-Habitat, Ministry of Construction, Mandalay University, Private sector New Yangon Development Company and JICA.
- » (Right) Daw Moe Thida, Housing expert from the Department of Urban and housing development presenting 'thematic areas 4: Housing' group discussion outcomes.

#### TOR for National Urban Committee consulted and formulated

#### Formulation of National Urban Committee

The National Urban Committee (NUC) of the National Urban Policy Programme is an interministerial committee to oversee the development and implementation of the National Urban Policy. To ensure the process of development of NUP be participatory and inclusive, the NUC

will also bring together representatives from civil society and development partners in Myanmar. The NUC is required to meet bi-annually to review and discuss the progress of NUPP. ToR and Member Board Details Available

#### 2. 1st National Urban Policy Committee meeting held on February 2019

The first NUC meeting was held on 18 February 2019 in Nay Pyi Taw. The main objective of this meeting was to establish the National urban

committee to provide strategic guidelines in developing a national urban policy (NUP) for Myanmar. [Meeting Minutes available.]



#### 3. 2nd National Urban Policy Committee meeting held on June 2019

The second NUC meeting was was held on 26 June 2019 in Nay Pyi Taw. The meeting was attended by Ministry of Construction, Ministry of Electricity and Energy, Parliamentarians, Ministry of Transport and Communications, Ministry of Agriculture, Livestock and Irrigation, Ministry of Natural Resources and Environmental conservation, Ministry of Ethnic Affairs, City development committees and many more.

The meeting was also joined by UN-Habitat's Country Manager and consultant.

The meeting was the second meeting to discuss and present the current status of NUP and next steps towards the finalisation of the NUP. This was the first meeting where the NUC members were presented with the 3 newly added priority themes which were then discussed in detail and comments were made and captured. [Meeting Minutes available.]



#### 4. 2nd Korea exchange visit on NUP programme and smart cities, June 2019



The 2019 Korea exchange visit for NUPP focussed on the support to three pilot countries – Myanmar, I.R. Iran, Niger State (Nigeria) – in developing smart city strategies that are ingrained with their NUPs. From Myanmar, three participants were nominated by the Ministry of Construction's Department of Urban and Housing Development who are involved in the National Urban Policy Programme in Myanmar. UN-Habitat's consultant and the focal person for NUPP in Myanmar also participated in the exchange visit.

The visit was an opportunity to exchange experiences and notes on the progress undertaken in each country and learn from inspiring practices. Therefore, it aimed to overcome the capacity gap that states can be faced with in the NUP process by providing tools and technical assistance to governments and stakeholders.

#### The three objectives of the mission were:

- Augment the capacity of policy makers to develop smart city strategies through the provision of capacity development sessions and through site visits within Korea to relevant locations.
- 2. Share knowledge and encourage peer learning between the project countries and the Government of Republic of Korea, as well as between the project countries themselves.
- 3. Prepare action plan for fast-tracking the implementation of the projects in each respective country. [COUNTRY REPORT AVAILABLE]



» Participants visited the housing development site by LH in Seongnam.



» Participants at the LH Smart City Strategic Promotion Centre- Smartium



» One of the presentation sessions by MoLIT on Urban Polices of Korea.



» Myanmar Delegation Ms. Moe Thida, Director of Department of Urban & Housing development presenting the progress of NUP Myanmar

#### 4. 3rd National Consultation Workshop on National Urban Policy, October 2019

Following the national consultation workshop in December 2018 and discussions with focal ministry of construction, 3 new priority themes were added in the national urban policy framework

The 3rd national consultation workshop held on 22nd October 2019 with participation of about 150 participants had three primary objectives:

- The validation of the 3 newly added priority areas by the National Urban Policy Committee and stakeholders
- Discussions on the draft policy interventions and new policy intervention areas for the 3 new policy priority themes.
- The prioritization and finalisation of policy interventions of the existing 5 policy priority themes. [Workshop Report is being prepared]







- » Distinguished participants at the workshop (on top), Daw Aye Aye Myint, DDG of Department of Urban and housing development presenting NUP process in Myanmar (bottom left) and group discussion session (bottom right).
- 5. Smart City Strategy Workshop in National Urban Policy, October 2019



» Group photo with Director General of MoC, UN-Habitat Country Programme Manager, senior urban advisors, Representatives from the Smart Cities Network from 3 cities and UN-Habitat Senior advisors from Nairobi.

As part of the National Urban Policy Programme, the Government of Myanmar is also taking steps in integrating a smart city strategy within its National Urban Policy. The objective of a smart city strategy is to enable target cities in the country to adopt smart city approaches that make use of opportunities from sustainable urban planning, digitalization, clean energy and innovative technologies. To guide the use of digital transformations taking place at the local and national levels in Myanmar, there is an imperative need to develop a unified strategy or

framework that will align with global policies and institutions at the same time to achieve economic, social and environmental goals. As a result, a sub-national consultation workshop on Smart City strategy was organized on 23rd October 2019 with participation with 100 participants from relevant ministries, development partners, private sector and the 3 major cities of Myanmar, where smart strategies are being implemented at their local level. [Workshop Report is being prepared]





» (Left) Group photo with the participants from the 14 states and regions, Mr. Kibong Lee, Sr. advisor from UN-Habitat HQ, presenting on Smart City Strategies in NUP





» UN-Habitat consultant facilitating group discussions on importance of smart city elements (Left), Participants presenting the group discussion outcomes (Right).

#### 6. Meetings with Senior Advisors Ministry of Construction

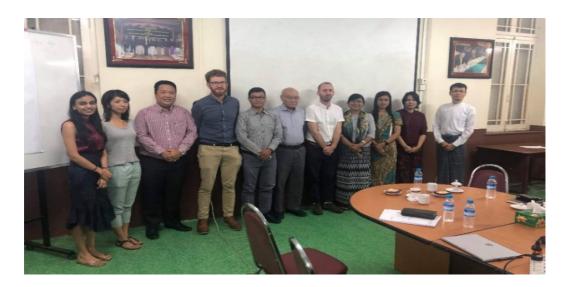
UN-Habitat organised a half-day technical meeting with the senior urban experts of Department of Urban and Housing Development on 28th October 2019. The meeting focussed on prioritising the policy interventions in the eight policy themes on the NUP.



#### 7. Development Partners roundtable discussion, October 2019

A development partners meeting was held on 29th October 2019 to discuss a) The prioritization of policy measures within the NUP-F with respect to priorities of development partners and b) What potential exists for development partners to contribute in the implementation of

these policy measures. The meeting was joined by the World Bank, SKL International, JICA and DFID's Hlanchi Programme. Comments from the development partners were sent and captured in the draft NUP presented in the National Urban forum.



8. Myanmar Government officials attend 3rd International Conference on National Urban Policies in Nairobi, 27th to 31st October 2019



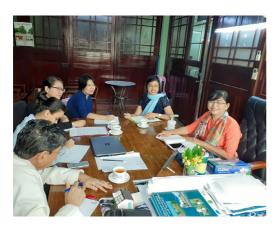
Government of Myanmar with the support of UN-Habitat nominated two of their officials from Ministry of Construction to participate in the international conference.

The Conference aimed on discuss how National Urban Policies can address emerging megatrends in innovative ways to enhance climate resilient and sustainable cities. It also showcased country-specific case studies, innovative approaches and emerging knowledge on urban policy.

This event was co-hosted by UN-Habitat, the Organisation for Economic Co-operation and Development (OECD) and Cities Alliance, with the support of the Ministry of Transport, Infrastructure, Housing and Urban Development of the Republic of Kenya and the Ministry of Land, Infrastructure and Transport of the Republic of Korea.



» Daw Mie Mie Tin, Deputy Director General of Department of Urban and Housing Development of Ministry of Construction giving presentation on the National Urban Policy Programme of Myanmar at UN-Habitat HQ in Nairobi. 9. Regular meetings with focal partner; Department of Urban and Housing Development, Ministry of Construction





» Regular meetings with Deputy Director General of Department of Urban and Housing Development Daw Aye Aye Myint with UN-Habitat's Myanmar country programme manager, Bijay Karmacharya, Sr. advisor Denzil Abel and consultant, Anuradha Panigrahi.





» Technical meetings with working groups of Department of Urban and Housing Development and UN-Habitat's consultants.

UN-Habitat Myanmar office and Ministry of construction's Department of Urban and Housing development meet regularly to discuss and follow-up on progress of NUP and next steps of action. [Meeting Minutes are available]

#### 10. National Urban Policy Forum - Final Consultation



UN-Habitat in partnership with the Department of Urban Housing and Development (DUHD) of the Ministry of Construction (MoC) of Myanmar hosted "The National Urban Policy Forum of Myanmar – Final Consultation" on 16 December 2019. The forum was graced by the presence of His Excellency U Han Zaw, the Union Minister of the Ministry of Construction; Senior Ministers of the Union Cabinet, His Excellency Mr. Sang-Hwa Lee, the Ambassador of the Republic of Korea; Mr. Atsushi Koresawa,

the Regional Representative of UN-Habitat Regional Office for Asia and the Pacific (ROAP); parliamentarians; representatives from all the fourteen States and Regions; Members of the National Urban Committee; senior DUHD officials; DUHD advisors; development partners; representatives from embassies; professional associations and Urban Policy Experts from UN-Habitat Headquarters. A total of 500 participants attended the forum.





» Parallel sessions on prioritizing the policy interventions on the 8 thematic areas and discussing smart city strategies.

This forum was organized with the objectives to showcase the National Urban Policy (NUP) process and progress in Myanmar, and to gather final comments and suggestions on the policy priority areas to be inputted in the National Urban Policy for Myanmar. Furthermore, the first draft of the National Urban Policy was presented by the senior policy expert of UN-Habitat.





There were presentations followed by three break-out parallel sessions which dealt with the NUP priority areas and Smart City Strategy respectively. During these parallel sessions, panel discussions were facilitated by UN-Habitat experts and the participants actively discussed the policy priorities and smart city strategies. As the next step, the key discussion points from the consultation will be complied and considered in formulating the final draft National Urban Policy. [Workshop Report is being prepared].



#### 11. Quarterly Reports submitted to HQ

UN-Habitat Myanmar office has been regularly sending quarterly reports to HQ in Nairobi. Three quarterly reports were sent in the year 2019.



#### 2.2. Next Steps

- National Urban Policy to be completed
- Implementation and action plan developed
- Revision of the National Urban Policy Framework Document
- Smart City strategy framework formulated
- Present National Urban Policy at the WUF 10 in Abu Dhabi



#### 2.3. Activities Plan for the Next Steps

#### 1. National Urban Policy to be completed and translation in Myanmar initiated

Post the National Urban Forum, the next step is to gather the comments and suggestions
captured from the forum to be indicated in the final draft of the national urban policy of
Myanmar.

#### 2. Implementation and action plan developed

- Policy Matrix covering the 8 Policy Priority areas
- Policy Matrix circulated and discussed with DUHD, Ministry of Construction
- Identifying potential Actions Areas (pilots) for implementation of NUP
- The above will be captured in an implementation plan which will be developed in detail and will be the next phase after the final draft of NUP is ready. Regular discussions and meetings will be organised with DUHD officials, development partners and relevant ministries in developing detailed actions and roles.

#### 3. Revision of the National Urban Policy Framework Document

- Addition of the 3 new policy priority areas with recommended policy measures
- Publishing the Revised document

#### 4. Smart City strategy formulated

 Gathering comments and discussions from the 2 workshops conducted on smart city strategies with stakeholders will be captured and a smart city framework for Myanmar will be produced.

#### 5. Present National Urban Policy at the WUF 10 in Abu Dhabi

• The Ministry of construction will be presenting and discussing the status and progress of the National Urban Policy in one of the networking events at the upcoming World Urban forum 10 in Abu Dhabi. UN-Habitat will be assisting the Government of Myanmar which will be led by the Union Minister of Construction.

#### 3. NIGER STATE, NIGERIA

#### 3.1. Background

In the last six decades, the entire planet has witnessed rapid urbanisation. In 1950, the proportion of world population living in urban areas was 30 per cent which afterwards increase to 43 per cent in 1990. As at 2018, 4.22 billion people lived in urban areas and by 2030 this will reach 5.17 billion. Urbanisation if well planned and managed has been widely recognized as driver of socioeconomic development which further translate into betterment of social services, employment creation, better housing and access to basic services, local economic development and economic competitiveness.

However, the negative externalities of unplanned urbanisation are the order of the day in Niger State. Though, the state is currently the largest state (land mass) in the country with wide range of resources (agricultural and minerals), conversely unplanned urbanisation various developmental. has brought environmental and social problems impeding developmental gains in the state. Some of the urban challenges in the state include urban sprawl, environmental degradation, disparity in access to basic services, unsustainable ecological consumption of resources. inadequate infrastructure and basic services, poor hygiene and sanitation, inadequate affordable housing, mobility challenge, poor drainage system, proliferation of slums and urban poverty.

From the ongoing urban crisis, it has become apparent that the unplanned urban expansion, urbanisation and lack of planning had resulted to multiplicities of urban problems and development challenges in the state. On the contrary, as contained in the Quito Declaration, (New Urban Agenda) which was adopted at the Habitat III conference held in Ecuador. environmentally sound urban and territorial planning, infrastructure development and provision of basic services, has been conceived as a development mechanism to fostering sustainable economic development, facilitate the sustainable management of natural resources in cities and human settlements in a manner that protects and improves the urban ecosystem and environmental services.

As part of the commitment of the government in creating compact, connected, socially inclusive and self-sustaining towns and cities in the State, Niger State Government has launched the preparation of Niger State Urban Development Policy (SUP) as well as planning and development of Suleja Smart City through the technical support of United Nations Human Settlement Programmes (UN-Habitat) and financial support of the South Korean Government. This however is an effort on the part of the Niger State Government in implementing the Goal 11 of the Sustainable Development Goals adopted in September 2015 as well as the New Urban Agenda adopted in Quito, Ecuador in October 2016.

#### 2018-19 DEVELOPMENTS

 Nov 2018 – Nov 2019: Preparation of Draft Feasibility and Diagnosis Report for the State Urban Policy

The development process of Sub-National Urban Policy in Niger State - Nigeria commenced with the preparation of draft Feasibility and Diagnosis study by the out posted UN-Habitat Urban Development Expert (Mr. Emmanuel Adeleke) based in Minna, Niger State. This was achieved through both quantitative and qualitative data/information collected and analysed from a variety of sources including gazette documents, statistical records (Niger State Bureau of Statistic Records, National Bureau of statistics), development plans (Niger State Regional

Plan, Minna, Bida & Kotangora master plans), questionnaire, interview with officials of relevant MDAs and Nigerlites, Policy documents, field visits & photographs, and maps. The draft reports were presented during a technical workshop with relevant MDAs (24th of September) and Quarterly meeting of the Technical Support Team to validate the draft reports, identify areas that needs further research in the reports and identify issues yet to be covered in the reports. Other activities undertaken for this purpose includes:

#### 2. Jan - Sept, 2019: Build Consensus with the relevant MDAs and Key stakeholders

Series of technical meetings was held with the relevant Ministries, Departments and Agencies (MDAs) dated 14/01/2019, 06/02/2019, 17/09/2019 and 07/10/2019 Through these

meetings, the MDAs were sufficiently informed on the development process of the Sub-national urban Policy and their role in the preparation of the Niger State Urban Policy.



» Sensitization meeting with association of City Managers in Niger State

#### 3. March - April, 2019 - Constitution Technical Support Team (TST)

In order to have a successful, comprehensive and implementable sub-national urban policy, a Technical Support Team (TST) of 33 members had been constituted. The TST members were carefully selected based on their qualification, experience and leadership capability across different sectors vis-a-viz government, private

sectors, NGOs, labour union, academia, and media. while the main function of the TST as contained in Article 2 of the Terms of Reference prepared for the TST is to provide support for the development of State Urban Policy (SUP) in Niger State,

#### 4. April – June, 2019 - Mapping and Identification of key stakeholders for SUP

Due to the nature of the project, it is paramount to engage stakeholders across all sectors and geographical scope in the state as this will not only give them a strong sense of ownership to promote and implement the sub-national urban policy but further enhance inclusiveness in the policy development process. However, to ensure inclusiveness in the development process of Niger State Urban Policy, stakeholders from different organization affiliation and geographical locations in the state have been mapped and identified. Mapped stakeholders'

organizational affiliation has been divided into four main categories, namely: (i) government, (ii) Civil Society/Private Sector (iii) Traditional Institution (iv) Academic & Research Institutions. These four broad categories offer an exhaustive representation of the different settings of society, including stakeholders experienced with research, policy and societal aspects of impacts, risks and responses to high-end scenarios. Also, the most vulnerable groups (such as women, youth, the elderly and the disabled) are not left out

#### 5. June - Oct 2019 Institutional Capacity Assessment

The need to assess capacity of urban management institutions in Niger state is of great importance as this helps in identifying the strengths and opportunities for improving the institutions capacity for policy development and implementation. However, in order to achieve successful preparation and implementation of urban policy in Niger State, the capacities of institutions responsible for urban management was assessed. This was achieved through

interviews and consultations with heads (General Managers and Directors) of urban management institutions. The outcome of the capacity assessment reveals capacity gaps impeding the urban institutions from realizing their institutional mandates thus provides Niger State government and UN-Habitat strategies/actions for building the capacity of urban development institutions for sustainable urban management in Niger State.



» Interactive session with General Manager Niger State Water Board

#### 6. September, 2019 - Inauguration Technical Support Team (TST)

On the 10th of September, 2019, the technical Support Team was inaugurated by the Executive Governor of Niger State. The inauguration of the Technical Support Team (TST) was designed not to only seek support of the team but to also raise awareness on the development of Sub-National Urban Policy in Niger State and its expected outcomes. The inauguration of the TST braced the first of the three key pillars (participation) guiding the NUP process as well as the Article 15 of the New Urban Agenda (NUA).

The Technical Support Team which is chaired by the Secretary to the government of Niger State (Alhaji Ahmed Matane), provides technical support in terms of collection and analysis of relevant data and information; review and validate output documents of the State Urban Policy process including the feasibility and Diagnostic Report, provide recommendations for the policy development, assists in facilitating discussions with government officials and other stakeholders in the ongoing policy formulation process.









» Cross-section of TST member during the Inauguration

#### 7. September, 2019 - First Workshop on Draft Feasibility & Diagnosis Study

The significance of participation towards achieving 2030 Agenda for Sustainable Development more importantly SDG 11 (making cities and human settlements inclusive, safe, resilient and sustainable) is expressed in numerous paragraphs of the New Urban Agenda (NUA), (specifically paragraph 1, 9, 13, 14, 26, 31, 33, 38, 40, 48, 61, 72, 90, 114, 125, and 138) and one of the pillars of urban policy circle; thus the need to actively interface and engage the policy makers/development actors with the policy development process is imperative.

In the light of this background, a day workshop was organized on the 24th of September, 2019 to bring together the policy makers/development actors to: validate the draft reports, identify areas that needs further research in the reports, identify issues yet to be covered in the reports and as well advise on how to improve on the subsequent version of the reports. This activity set a stage for full participation and constant feedback from the policy makers in the policy process.



» Government officials/Policy makers during the workshop

#### 8. Nov 2019 - Public Awareness and Advocacy

As part of the mobilization efforts to ensure inclusive participation in the policy formulation process as contained in several paragraphs of New Urban Agenda (particularly paragraph 13b &c) and as well to formulate an evidence based sub-national urban policy for the state, Alhaji Ahmed Matane the secretary to the government of Niger State (SSG) who equally chair the Technical Support Team (TST) for the development of the SUP in the state addressed

the media (on 11th of November 2019) via a press conference on the formulation of the State Urban Policy which will be prepared through a bottom-up and stakeholder-driven process. Similarly, as part of the effort to sensitize, capture, collect, produce, share information to all Nigerlites on the formulation of Sub-National Urban Policy in Niger State, audio Jingles were produced in 4 major languages spoken and aired on radio stations across the state





» The Chairman of the TST addressing the media crew

#### 9. Nov 2019 - Second Workshop on Draft Feasibility & Diagnosis Study

The revised draft Feasibility & Diagnosis Study was presented to Technical Support Team (13th of November, 2019) to review and further identify areas that needs further research in the reports,

identify issues yet to be covered in the reports and as well provide technical input on how best to engage Nigerlites during the stakeholders' consultation.



» Emmanuel Adeleke (UN-Habitat Urban Development Expert) presenting the draft feasibility and Diagnosis Study to the TST member

#### 10. Dec. 2019 - Organised Town Hall Meeting

In order to ensure inclusiveness in the subnational urban policy formulation process in Niger State, town hall meetings were organised in 4 locations not to only inform and sensitise all Nigerlites on the development of the State Urban Policy, but to also serve as a platform to collect additional data, building consensus on the current development challenges in Niger State and identify core issues to be addressed in the state urban policy.

The Town hall meeting which held in Minna (9th December, 2019, Bida (11th December, 2019), Kontagora (14th December, 2019) and Suleja (16th December, 2019) recorded varying categories of stakeholders from private sector, professional organizations, civil society, trade unions, NGOs, academic & research institutions, think-tanks and research institute, traditional institutions, students, women, the vulnerable groups, Politicians and MDAs.



Minna Centre



Kontagora Centre



Bida Centre



Suleja Centre

» Cross-section of Participants during Town Hall Meeting at various Centres

#### 11. Dec. 2019 - Organised Technical Discussion on Smart City Strategy for Niger State

Technical discussion was held with three experts in Smart City from the School (Faculty) of Engineering and Engineering Technology, Federal University of Technology, Minna, Niger State. They were briefed on the pilot Smart City project of Niger State Government in Suleja and

the desire of the government to develop a Smart City Strategy that will be integrated into the State Urban Policy (SUP) under preparation. It was agreed that they will submit their proposal for consideration early in January, 2020



#### 3.2. Next Steps

- Organise 3rd Validation Workshop on the Feasibility, Diagnosis and Feasibility Policy Note
- Organise 2nd Quarterly Advisory board meeting for adoption and final approval of the feasibility study and diagnosis study and feasibility policy note.
- Organise workshop/training on policy dialogue
- Formulation of Niger State Urban Policy (draft document produced)
- Preparation of draft Feasibility Study and Master Plan for the Suleja Smart City;
- Organize State Urban Forum before and after the preparation of the SUP



#### 3.3. Activities Plan

#### Organise third Validation Workshop on the Feasibility and Diagnosis reports and Feasibility Policy Note

To finalise the draft reports, a validation workshop will be organised where different categories stakeholders (policy actors, Civil Society, Private Sector, Traditional Institution, Academic & Research Institutions, and vulnerable groups) will be further engaged on the subnational urban

policy formulation process in Niger state. In this process, all comments harvested and additional information obtained during the workshop will be integrated appropriately to produce draft final Feasibility and Diagnosis reports as well the Feasibility Policy Note.

#### Draft Final reports approved and shared

The draft final reports will be presented to the advisory board for final approval. Thereafter, the final Feasibility and Diagnosis Report will be printed and shared with all the stakeholders and policy actors as outcome documents of the feasibility and diagnosis phase.

#### Organize training on policy dialogue

Preceding the formulation of subnational urban policy in Niger state, a 3-day training/policy dialogue will be organised for the stakeholders (policy actors, Civil Society, Private Sector, Traditional Institution, Academic & Research Institutions, and vulnerable groups)

to extensively discuss the outcomes of the diagnostic study, identify the policy priority areas and as well discuss the policy vision and Framework for the subnational urban policy and smart city strategies in Niger state.

The training would equally serve as a platform to raise awareness level on the subnational urban policy formulation process in Niger state and its expected outcomes in accelerating the attainment inclusive, safe, resilient and sustainable towns and cities in Niger state. This training will be facilitated by urban policy experts from UN-Habitat Headquarters while priority areas identified will be expounded in the formulation of the state urban policy.

#### Formulation of draft State Urban Policy and Smart City Strategies

Based on the policy vision, identifies policy priorities areas and approved policy framework, the draft Niger state subnational urban policy and the smart city strategies will be formulated with general and technical participation and inputs from all stakeholders.

#### Organize State Urban Forum

A validation will be organised with the participation of urban policy experts for UN-Habitat HQ, all the stakeholders in Niger state, and relevant National Institutions/Agencies in Niger State.

Comments and inputs registered during the workshop will be integrated in preparation of the draft final Niger State Urban Policy.

## **FINANCIAL REPORT 2019**

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INTERIM PROJECT FINANCIAL REPORT as at 31-Jan-2020 (United States dollars)

Project Title/Umoja ID: Total Approved Funding: Duration of Project:	Government of South Korea  Pilot phase of the National Urban Policy Programme: Developing NUPs and Smart City Strategies in Three Selected Countries-M1-32FOD-000105  US\$2,873,348.00  June 2017 - June 2022 (GYears )				
Year	Actuals 2017	Actuals 2018	Actuals 2019	Actuals 2020	Total
Donor contributions					
Project balance b/f		331.204.77	629,441.69	818,956.87	
Contributions/Funding received	458,688	492.478.10	548,097.68	•	1,499,263.5
Available Income	458,687.81	823,682.87	1,177,539.37	818,956.87	1,499,263.6
Expenditures					
Sub-Contracts / Grants	22.040.00	(21,113.20)	6,916.30	•	7,843.1
Equipment and Furniture		8,761.00	4.09		8,765.0
Grants Out					
IP Direct (Implementing Partners)		25,086.00			25,086.0
Operational Costs (Training/Meetings and Workshops)	17,329.14	15,243.24	106,328.84	(2,904.00)	135,997.2
Staff/Personnel/Consultants	43,097.17	148,919.07	187,848.01	65,400,00	445.264.2
Miscellaneous/Supplies		294.00			294.00
Fravel/Mission Cost	36,676.65	3,779.63	36.486.38	4,917.91	81,860.57
Total expenditure	119,142.96	180,969.74	337,583.62	67,413.91	705,110.2
Programme Support Costs (PSC)	8.340.08	13.271.44	20.998.88	4,718.97	47,329.3
otal expenditure + PSC	127,483.04	194,241.18	358,582.50	72,132.88	752,439.60
Project balance c/d	331,204.77	629,441.69	818,956.87	746,823.99	746,823.99
otal Approved Funding					203,654.00
alendar of Payments	Date	DZ Doc Number		The State of the S	Amount in US
unding Received	05.07.2017	2400124040			458,68
unding Received	31.10.2018	2400195599			492,47
unding Received	12.06.2019	2400237230			548,09
019 Total	THE SECOND SECON				1,499,263.5
otal Received 2017-2020					1,499,263.5
K Loss		BELLEVIE TO BE			

Project Management Focal point Officer (signature over name)
Kibong Lee, PMO, UPB

04/02/2020

#### NATIONAL URBAN POLICY PROGRAMME 2018-2019 ANNUAL REPORT

The National Urban Policy Programme (NUPP) is an instrumental tool towards promoting and achieving transformative, inclusive, green, productive, and resilient urban development. The NUP is achieved through deliberate and shared responsibility between UN-Habitat and respective countries across the globe. In view of the externalities and challenges facing urban cities, NUP comes in handy to address the challenges with urgency and relevance in line with the New Urban Agenda (NUA) as it supports countries undertaking NUP process with tools and knowledge. This initiative is geared towards achieving Sustainable Development Goal (SDG) 11: Make cities and human settlements inclusive, safe, resilient and sustainable, which subsequently hastens the achievement of other SDGs. This report reviews NUP Programme for three countries; I.R. Iran, Myanmar and Niger State in Nigeria. Building on the first edition of the NUPP launched in 2017, the second edition report is a critical reference point for policy makers and urban professionals as it shows progress of the implementation of NUPP and smart cities in the pilot phase for the three countries.

The Republic of Korea committed to support the development of NUP financially and technically in the I.R. Iran, Myanmar, and Niger State in Nigeria. The main objective of the report is to update stakeholders on aims of the project which are; sub-national and national governments capacity enhancement, knowledge centralization about smart cities strategies, and providing augmented knowledge sharing on NUP and smart cities. The report further gives comprehensive update on key activities undertaken in 2018-2019, outcomes, next steps, activities plan, recruitments, as well as the financial report for the period. The report is a co-creation of the Republic of Korea, UN-Habitat, I.R. Iran, Myanmar, and Niger State in Nigeria who are the key implementers of the pilot phase among other stakeholders to ensure ownership and alignment of respective national development priorities.



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