



- Updated 2021 Financial Status of UN-Habitat
- UN-Habitat — Interim Staffing Report 31 July 2021
- UN-Habitat — Interim Staffing Report 31 October 2021
- Cost-recovery policies and methodologies 2021 Update
- Implementation of the resource mobilization strategy in accordance with the strategic plan



FINANCIAL STATUS UPDATE AS AT 31 JULY 2021

Funding sources	Approved 2021	Approved 2021 Pro-rated to July 2021	Actual Revenues / Expenditures (July 2021)
Revenues			
Foundation non-earmarked	10,000.0	5,833.3	774.5
Regular budget (Section 15)	12,495.8	7,289.2	6,182.3
Regular budget (Section 23 and Section 35)	2,559.0	1,492.8	765.4
Foundation earmarked	43,330.8	25,276.3	5,067.2
Technical cooperation	152,573.1	89,001.0	47,876.8
End of service benefits	-		10.0
Programme support costs	9,778.8	5,704.3	6,053.1
Total revenues	230,737.5	134,596.9	66,729.3

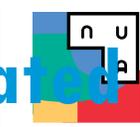
^a Revenue is stated net of adjustments.

Funding sources	Approved 2021	Approved 2021 Pro-rated to July 2021	Actual Revenues / Expenditures (July 2021)
Expenditures			
Foundation non-earmarked	10,000.0	5,833.3	1,950.7
Regular budget (Section 15)	12,495.8	7,289.2	6,182.3
Regular budget (Section 23 and Section 35)	2,559.0	1,492.8	765.4
Foundation earmarked	43,330.8	25,276.3	28,488.3
Technical cooperation	152,573.1	89,001.0	59,106.2
End of service benefits	-		15.0
Programme support costs	9,778.8	5,704.3	7,257.1
Total expenditures	230,737.5	134,596.9	103,765.0
Net Revenues			-37,035.7

1. ^a Revenue is stated net of adjustments.



FINANCIAL STATUS UPDATE AS AT 31 OCTOBER 2021



Financial Status of UN-Habitat

Funding sources	Approved 2021	Approved 2021 Pro-rated to October 2021	Actual Revenues / Expenditures (October 2021)^{a/b}
Revenues			
Foundation non-earmarked	10,000.0	8,333.4	2,024.3
Regular budget (Section 15)	12,495.8	10,413.3	10,413.3
Regular budget (Section 23 and Section 35)	2,559.0	2,132.5	2,132.5
Foundation earmarked	43,330.8	36,109.2	20,119.2
Technical cooperation	152,573.1	127,144.3	100,266.9
End of service benefits	-		30.9
Programme support costs	9,778.8	8,149.1	8,829.7
Total revenues	230,737.5	192,281.8	143,816.8

^a Revenue is stated net of adjustments.

^b Timing differences from prior year-carried over project revenues

Funding sources	Approved 2021	Approved 2021 Pro-rated to October 2021	Actual Revenues / Expenditures (October 2021)^{a/b}
Expenditures			
Foundation non-earmarked	10,000.0	8,333.4	2,219.8
Regular budget (Section 15)	12,495.8	10,413.3	9,659.9
Regular budget (Section 23 and Section 35)	2,559.0	2,132.5	1,532.5
Foundation earmarked	43,330.8	36,109.2	38,902.9
Technical cooperation	152,573.1	127,144.3	84,663.7
End of service benefits	-		36.0
Programme support costs	9,778.8	8,149.1	9,916.6
Total expenditures	230,737.5	192,281.8	146,931.4
Net Revenues			(3,114.6)

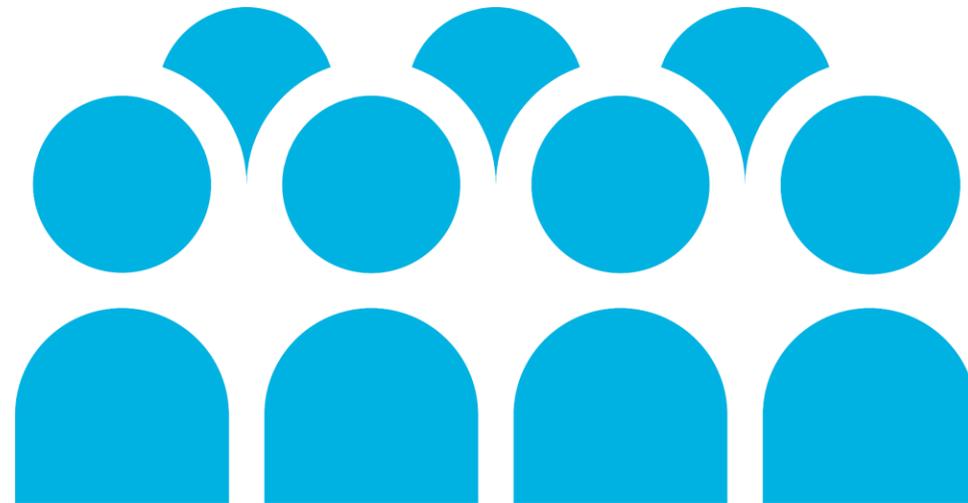
^a Revenue is stated net of adjustments.

^b Timing differences from prior year-carried over project revenues



GENDER AND GEOGRAPHICAL DISTRIBUTION AS AT 31 JULY 2021

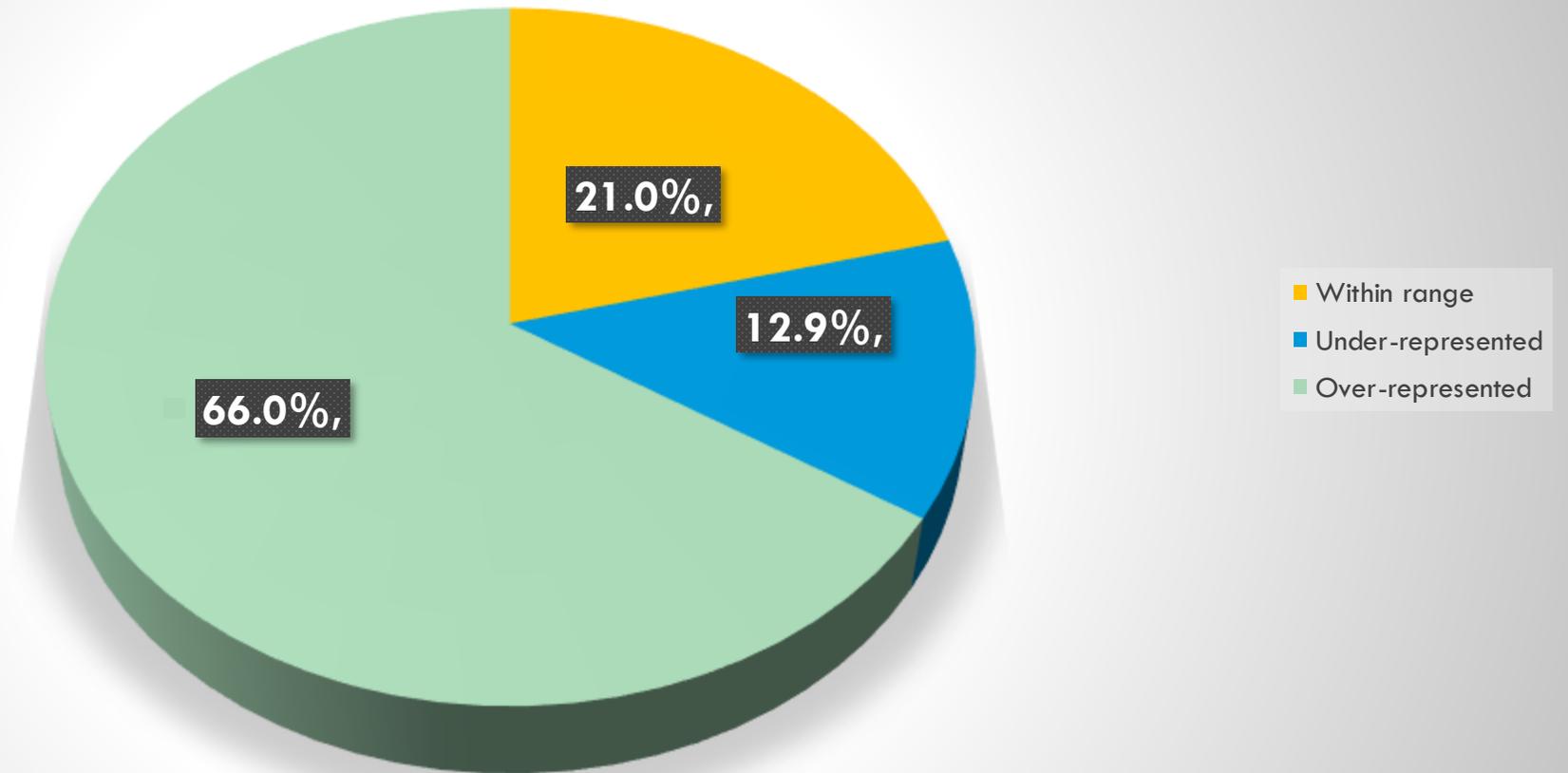
- Gender Distribution
- Geographical Distribution
- Challenges & Future Planning



Region	Female	Male	Total	% Female	% Male	% of Total
Africa Group	83	69	152	54.6%	45.4%	49.2%
Asia-Pacific	20	27	47	42.6%	57.4%	15.2%
Eastern Europe	2	4	6	33.3%	66.7%	1.9%
Latin America and the Caribbean	6	5	11	54.5%	45.5%	3.6%
Western Europe and others	49	44	93	52.7%	47.3%	30.1%
Grant Total	160	149	309	51.8%	48.2%	100.0%

Level	Female	Male	Total	% Female	% Male	% of Total
USG	1	-	1	100.0%	0.0%	0.3%
ASG	-	1	1	0.0%	100.0%	0.3%
D-2	1	1	2	50.0%	50.0%	0.6%
D-1	1	6	7	14.3%	85.7%	2.3%
P-5	2	26	28	7.1%	92.9%	9.1%
P-4	30	25	55	54.5%	45.5%	17.8%
P-3	26	27	53	49.1%	50.9%	17.2%
P-2	17	11	28	60.7%	39.3%	9.1%
NO-C	-	6	6	0.0%	100.0%	1.9%
NO-B	9	4	13	69.2%	30.8%	4.2%
NO-A	1	5	6	16.7%	83.3%	1.9%
G-7	11	7	18	61.1%	38.9%	5.8%
G-6	19	11	30	63.3%	36.7%	9.7%
G-5	29	10	39	74.4%	25.6%	12.6%
G-4	11	6	17	64.7%	35.3%	5.5%
G-3	1	2	3	33.3%	66.7%	1.1%
G-2	1	1	2	50.0%	50.0%	0.6%
Grant Total	160	149	309	51.8%	48.2%	100.0%

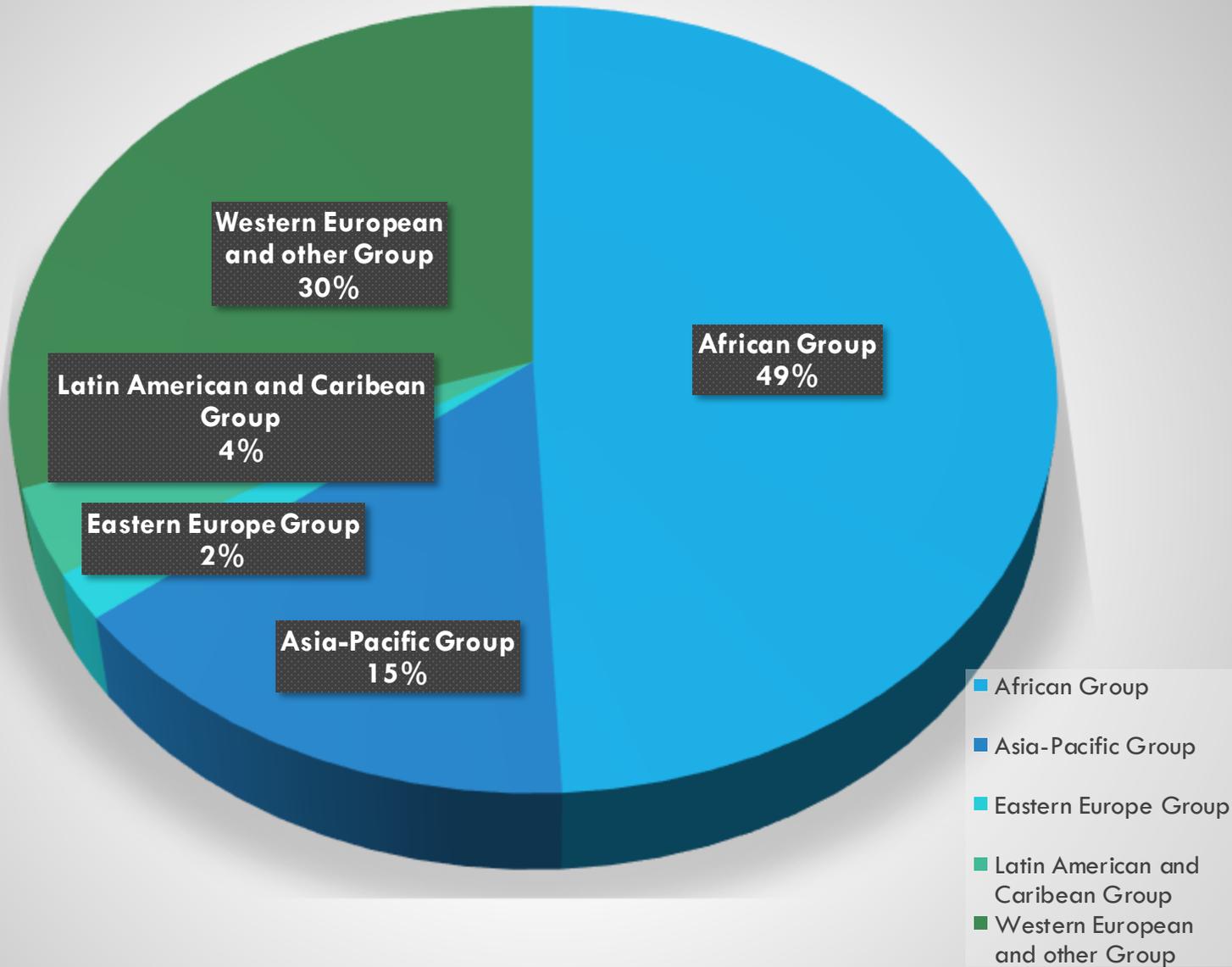
Geographical Distribution





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Staff Numbers and Country representation according to Regional groups

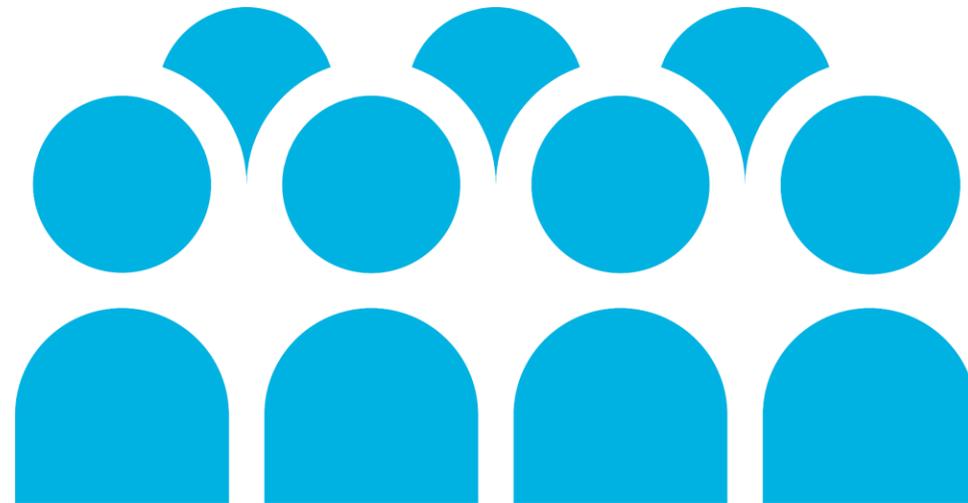


African Group - 152
Asia-Pacific Group - 47
Eastern Europe Group - 6
Latin American and Caribbean Group - 11
Western European and others Group - 93



**GENDER DISTRIBUTION
GEOGRAPHICAL DISTRIBUTION
AS AT 31 OCTOBER 2021**

- Gender Distribution
- Geographical Distribution
- Challenges & Future Planning

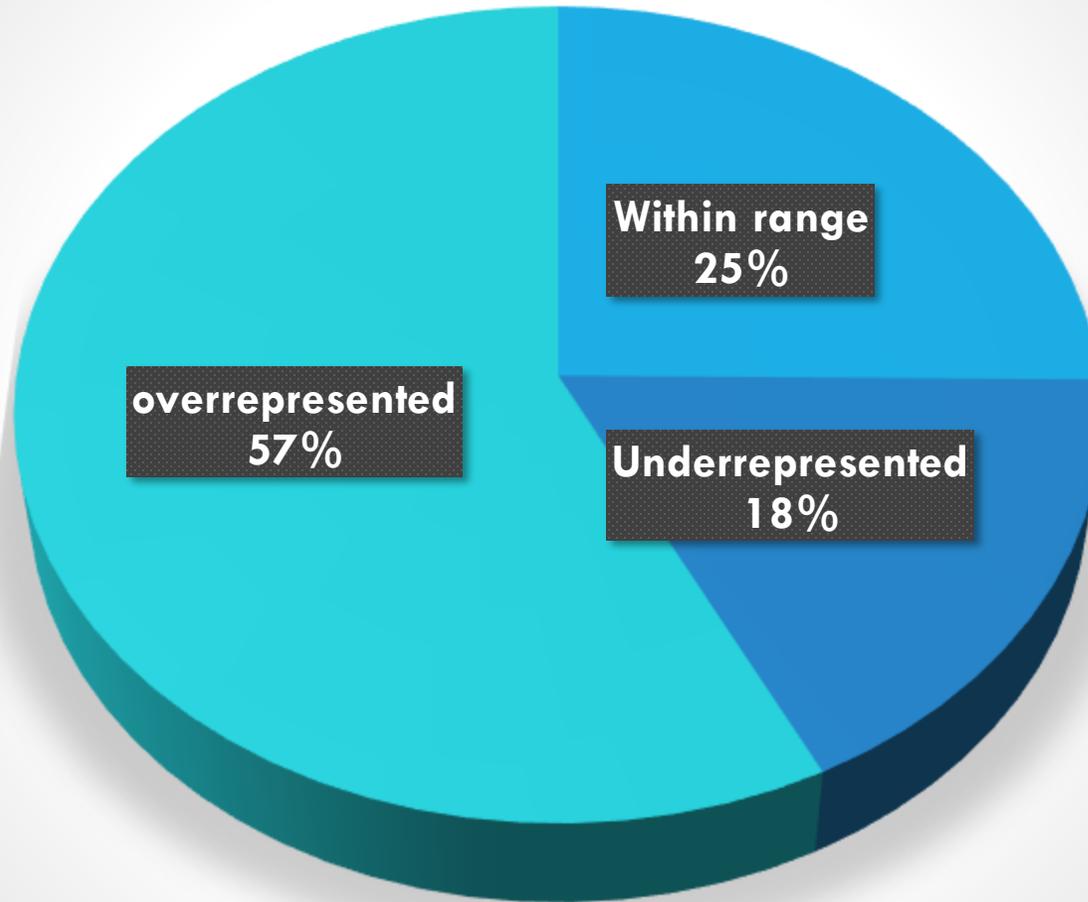




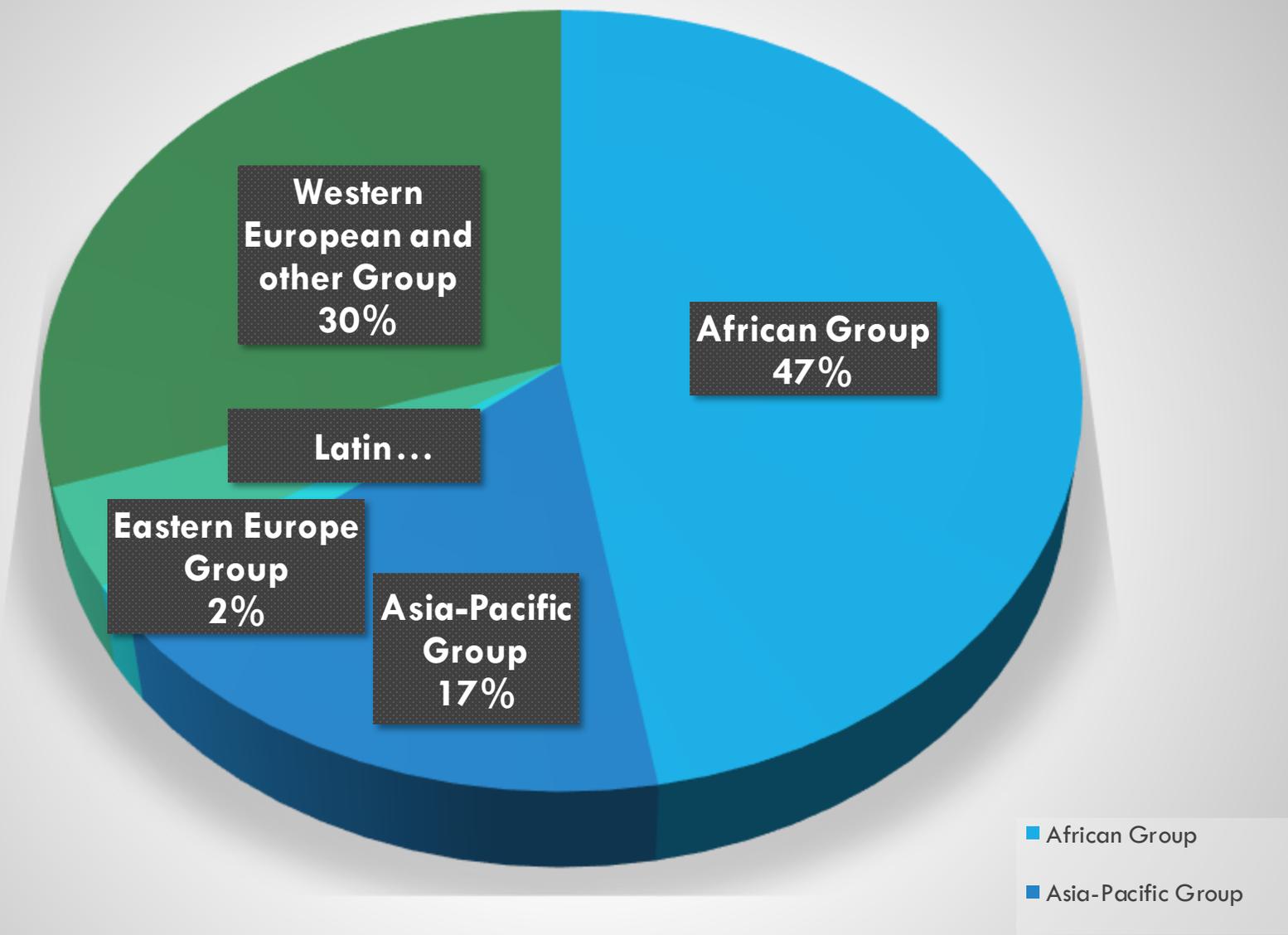
Staff Gender Distribution according to Regional groups

Region	Female	Male	%Female	%Male	Total	% Total
African Group	81	67	54.73%	45.27%	148	100.00%
Asia- Pacific	26	27	49.06%	50.94%	53	100.00%
Eastern Europe	2	3	40.00%	60.00%	5	100.00%
Latin America and Carribean	6	7	46.15%	53.85%	13	100.00%
Western Europe and Others	49	45	52.13%	47.87%	94	100.00%
Grand Total	164	149	52.40%	47.60%	313	100.00%

Level`	Female	Female %	Male	Male %	Total
USG	1	100.0%	0	0.0%	1
ASG	0	0	0	0	0
D-2	0	0.0%	1	100.0%	1
D-1	1	14.3%	6	85.7%	7
P-5	2	7.1%	26	92.9%	28
P-4	31	56.4%	24	43.6%	55
P-3	26	47.3%	29	52.7%	55
NO-C	1	16.7%	5	83.3%	6
P-2	19	59.4%	13	40.6%	32
NO-B	12	75.0%	4	25.0%	16
NO-A	1	20.0%	4	80.0%	5
G-7	11	61.1%	7	38.9%	18
G-6	20	64.5%	11	35.5%	31
G-5	26	72.2%	10	27.8%	36
G-4	11	64.7%	6	35.3%	17
G-3	1	33.3%	2	66.7%	3
G-2	1	50.0%	1	50.0%	2
	164	52.4%	149	47.6%	313



- Within range
- Underrepresented
- overrepresented



African Group –148
 Asia-Pacific Group -53
 Eastern Europe Group – 5
 Latin American and Caribbean Group – 13
 Western European and others Group - 94



ACTIONS TAKEN TO IMPROVE GENDER AND GEOGRAPHICAL DIVERSITY

The Secretariat has taken various steps to improve geographical diversity of its staff members including:

- (a) **Advocacy** with Member States, the UN System, Partner organisations to expand the candidate pool;
- (b) **Training for Hiring Managers** to sensitize colleagues on the need to improve productivity by way of diversifying the work force
- (c) **Streamlining the relationship with UNON service provider** to avoid duplication, encourage efficiency and improve monitoring
- (c) **Participation in job fairs** to build up the pool of candidates and to **improve existing roaster** of candidates.

While the primary goal of the newly developed strategy is to ensure a more diverse and inclusive workforce that exhibits the core values that the organisation upholds, OHR will work closely with all the entities to secure the highest standards of efficiency, competence and integrity in line with [Article 101 of the UN Charter](#) during any recruitment process.



**IMPLEMENTATION OF THE
RESOURCE MOBILIZATION
STRATEGY IN ACCORDANCE
WITH THE STRATEGIC PLAN**

1 ADEQUATE FUNDING 

Regular budget:	\$	60M
Non-earmarked:	\$	41M*
Foundation earmarked:	\$	262M
Technical cooperation:	\$	607M
Total:	\$	970M

*projected funding

2 ALIGNMENT 

- Urban dimensions of the SDGs, NUA
- UN-Habitat Strategic Plan
- National strategic plans
- UN Sustainable Development Cooperation Framework
- UN Funding Compact

3 STRATEGIC PARTNERSHIPS 

- Joint strategy development
- Multi-year agreements
- Pooled funding
- Large scale high impact programmes

4 DIVERSIFIED DONOR BASE 

- More Member States contributing
- Domestic resources
- Private Sector, Local Authorities, other
- Multi-donor pooled funds

5 VALUE FOR MONEY 

- Results focused
- Efficient
- Transparent
- Accountable

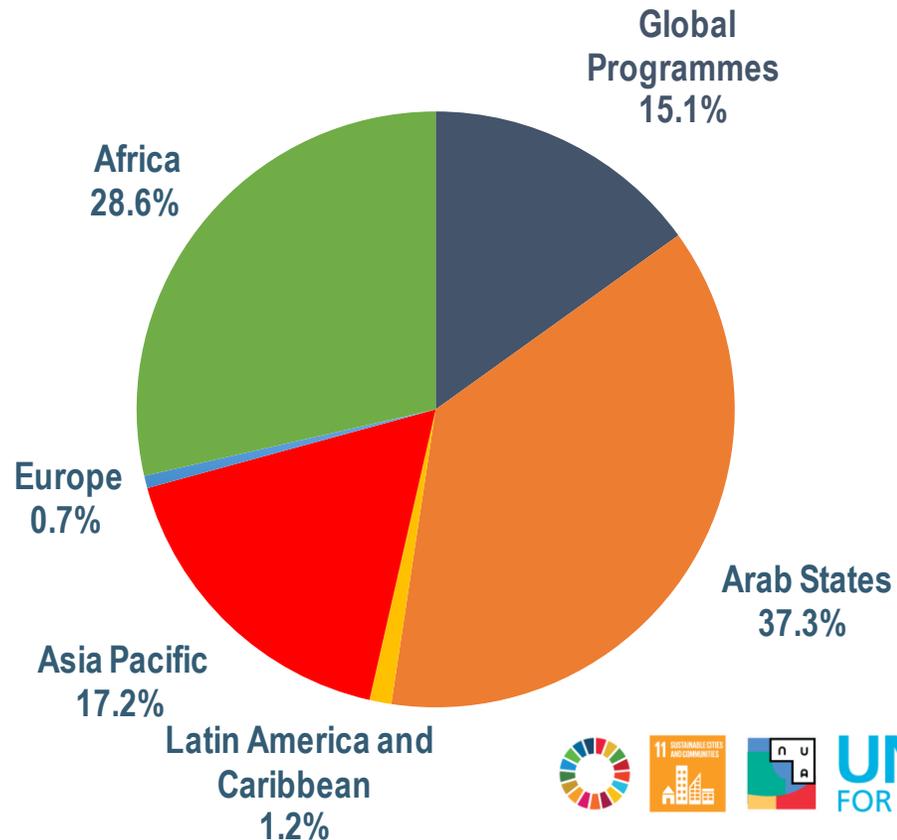
6 INNOVATION 

- Blended funding: loans, grants
- National Committees
- High Net Worth Individuals, Influencers
- Technology support, social media



Outcomes

- Only **26%** of foundation non-earmarked requirements secured – Member States diverting resources to COVID-19 recovery.
- **Three** new contributors to core funds and **two** comeback.
- Technical cooperation has acquired **53%** of its budget as of 31 October 2021.
- Healthy performance on technical cooperation and earmarked funds shows continuing demand for UN-Habitat’s services.

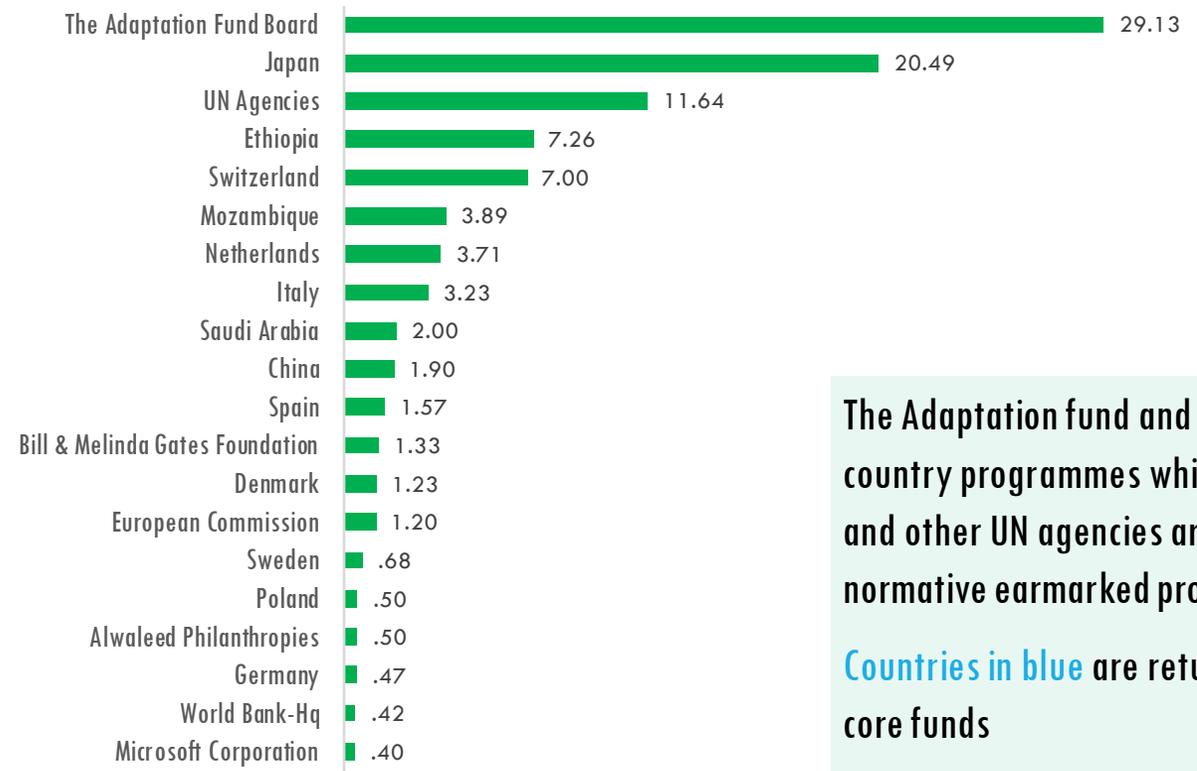


Allocation of income acquired in 2021 by UN-Habitat Implementing Region as of 31 October 2021



Country	Contributions USD
USA	700,000
China	350,000
Turkey ⁿ	200,000
Germany	174,419
South Africa	160,000
Italy ⁿ	118,906
Kenya	100,000
Egypt ⁿ	50,000
Philippines* ^r	50,000
Japan	31,458
Botswana	20,000
Barbados	15,000
Mali	10,012
Algeria	10,000
Malawi	10,000
Rwanda ^r	10,000
Pakistan	5,992
TOTAL	2,015,787

* Received for 2020 but not included in 2020 Financial Statements



The Adaptation fund and Japan are top contributors for country programmes while Switzerland, Japan, China and other UN agencies are top contributors for normative earmarked programmes

Countries in blue are returns or new contributors to core funds

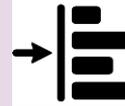
6 of the 20 top donors are foundations, intergovernmental organizations, private sector, civil society or UN agencies showing greater diversification of funding sources

Comebacks or new contributors to core
** Includes contributions received in 2021 for earlier year pledges*

2

ALIGNMENT

Urban dimensions of the SDGs, NUA
 UN-Habitat Strategic Plan
 National strategic plans
 UN Sustainable Development Cooperation Framework
 UN Funding Compact



Main Actions

- Donor Focal Points have facilitated 30 strategic funding dialogues with Member States
- Strategic discussions on **soft earmarked** for Flagship Programmes and Strategic Plan domains of change
- Regional structure finalized
- Alignment of the Resource Mobilization Strategy with the realignment of UN-Habitat's Strategic Plan
- Increased engagement with top donors, middle income and lower income countries
- Regional Dialogues conducted with member states

3

STRATEGIC PARTNERSHIPS

Joint strategy development
 Multi-year agreements
 Pooled funding
 Large scale high impact programmes



Results

- As a result of the **funding dialogues** facilitated by Donor Focal points **3 new donors** **2 comeback** to contributing to UN-Habitat.
- Sweden is providing one-time **soft earmarked support** of approximately USD 800,000 for domain of change **3 Strengthened climate action and improved urban environment**
- Increasing **collaboration** and **implementation** at country level, including for Covid-19 response

4

DIVERSIFIED DONOR BASE



More Member States contributing
 Domestic resources
 Private Sector, Local Authorities, other
 Multi-donor pooled funds

6

INNOVATION



Blended funding: loans, grants
 National Committees
 High Net Worth Individuals, Influencers
 Technology support, social media

Main Actions

- Increased engagement of Foundations through the Foundation and Philanthropy platform.
- Creation of Private Sector Engagement Strategy.
- Finalizing the creation of an endowment fund to support sustainable urban development.
- Finalizing the establishment of a feasibility fund to facilitate a greater flow of capital towards local impact.
- Plans are underway to launch a workplace giving campaign, where UN-Habitat staff will have the opportunity to donate to UN-Habitat Humanitarian and Emergency responses and donate online through the Donate Button.

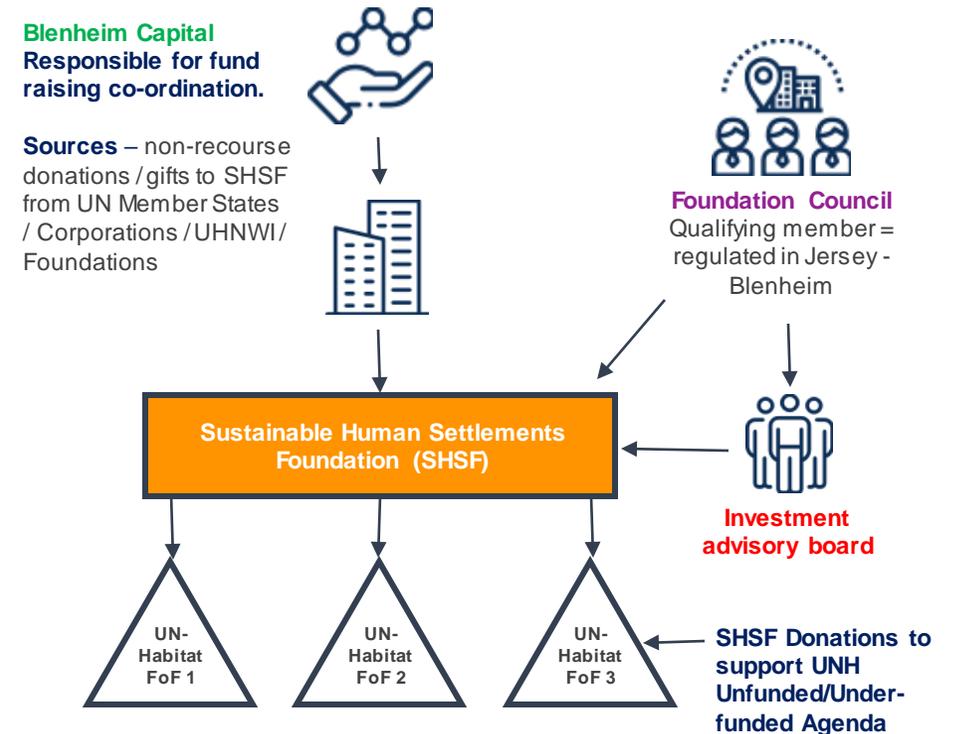
Results

- Establishment of a UN-Habitat **Endowment Fund**
- Commitment from the Foundations and Philanthropy Platform to establish a Feasibility Fund as part of the City Investment Facility.
- **6 of the top 20 donors** are from local governments, foundations and philanthropies, UN, academia, pooled funds or civil society.
- **Donate** feature on UN-Habitat site to crowdfund donations for UN-Habitat’s Humanitarian Appeal in the Arab Region was launched in June 2021.

- **Business Goal:** Build a perpetual endowment **\$1 bn fund by 2028** for UN-Habitat, to both remedy the current funding shortfall and to provide the basis for ongoing funding requirements & capital preservation

- Establishment of the **Sustainable Human Settlements Foundation (SHSF)** as a Charity registered in Jersey or England, with the oversight of the Charities Commissioner
- **Initial Foundation funds** provided by **Blenheim Capital** that has signed Letter of Intent with UN-Habitat
- Establishment of the **Foundation Council**, to have ultimate oversight and will consist of appointees from UN-Habitat, Blenheim Capital, and the appointed independent regulated fiduciary as qualifying member and any other appointee
- Foundation Council can delegate oversight of investment performance to an appointed **Investment advisory board** (UN-Habitat, Others)
- **Potential Donors** - UN Member States; Corporates, Foundations, Family Offices, High Net Worth Individuals
- **Ultimate & Sole beneficiary = UN-Habitat**

Structure



5

VALUE FOR MONEY

Results focused
Efficient
Transparent
Accountable



Main Actions

- **Urban Impact** quarterly newsletter brief improved to highlighting impact of UN-Habitat's work in countries.
- **Key Performance Indicators** for Resource Mobilisation finalized.
- **Standard Operating Procedures** finalized to form part of UN-Habitat **Operations Manual**.
- **Donor Information System** enhanced to include regional profiles and domains of change.
- **Recalibration** of UN-Habitat's Strategic **aligned** with Resource Mobilisation efforts.

Results

- **New agreements** publicized on UN-Habitat public site and social media platforms, Urban Impact
- Regular **communication and monitoring of reports** to Member States
- Finalized the Key Performance Indicators for Resource Mobilisation
- Positive feedback on UN-Habitat's recent publication "**Cities and Pandemics Report**", which has **received 4807 downloads** so far.
- **Monthly updates to Member States** include high impact programmes and upcoming UN-Habitat events

- Commitment and support from Member States to help amplify UN-Habitat [Global Agenda Setting role and urban](#) mandate for sustainable development
 - inclusive economic and green recovery post-Covid-19
 - climate resilience leading up to WUF11
- Support from Member States through [Non-earmarked contributions](#): Plan to share 2022 core contribution letters after the Executive Board meeting
- Support from Member states on [thematic areas including Flagship Programmes or other soft-earmarked support](#)
- Increase engagement with the private sector, including foundations, private individuals and private businesses, exploring [public-private partnerships](#) and drawing from experiences and modalities of other members of the UN family.



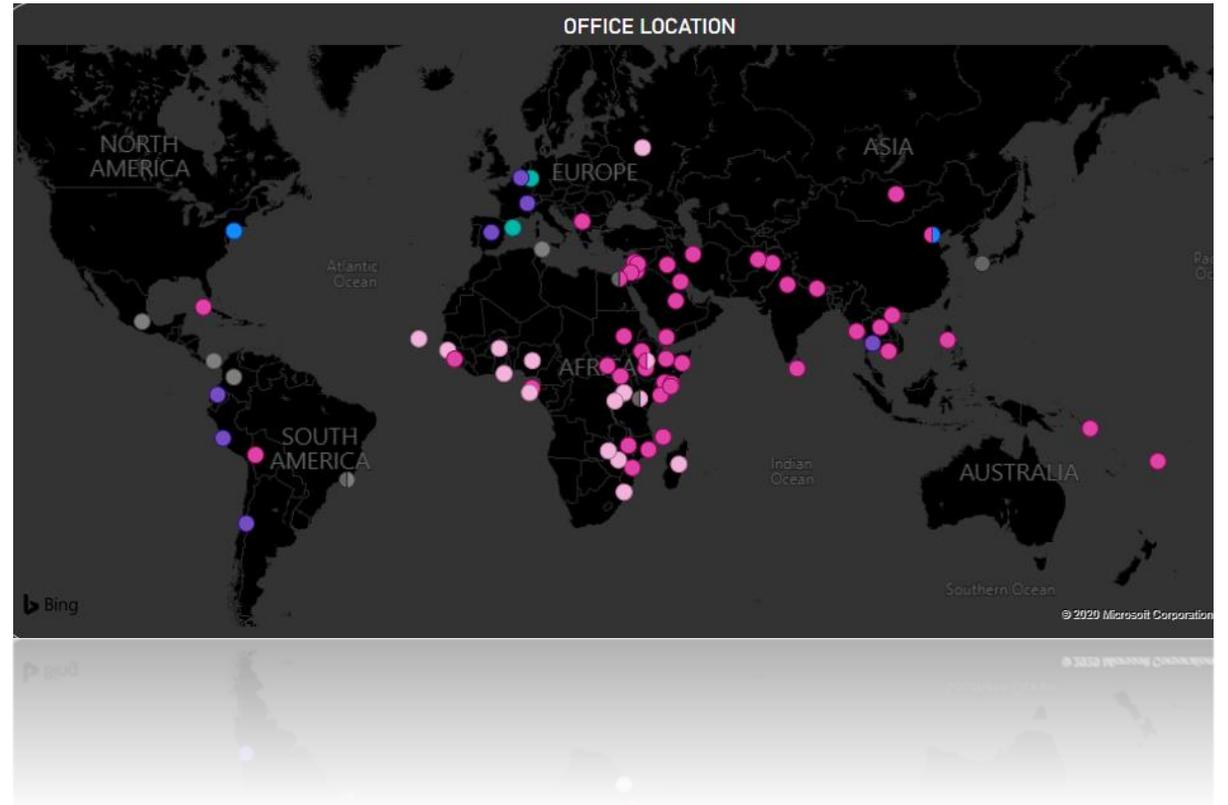
ON-GOING RESTRUCTURING OF UN- HABITAT



Update on the organizational restructuring of UN-Habitat

In 2018, UN-Habitat launched a reform process underpinned by the following four pillars:

- (1) A new governance architecture;
- (2) The development of a new strategic plan;
- (3) An internal change process;
- (4) An organisational restructuring.





Guiding Principles to Realign and Enhance UN-Habitat's Regional Architecture



An internal policy paper on Guiding Principles to Realign and Enhance the Agency's Regional Architecture was presented to the UN-Habitat Executive Committee in February 2021 and endorsed by the Executive Director.

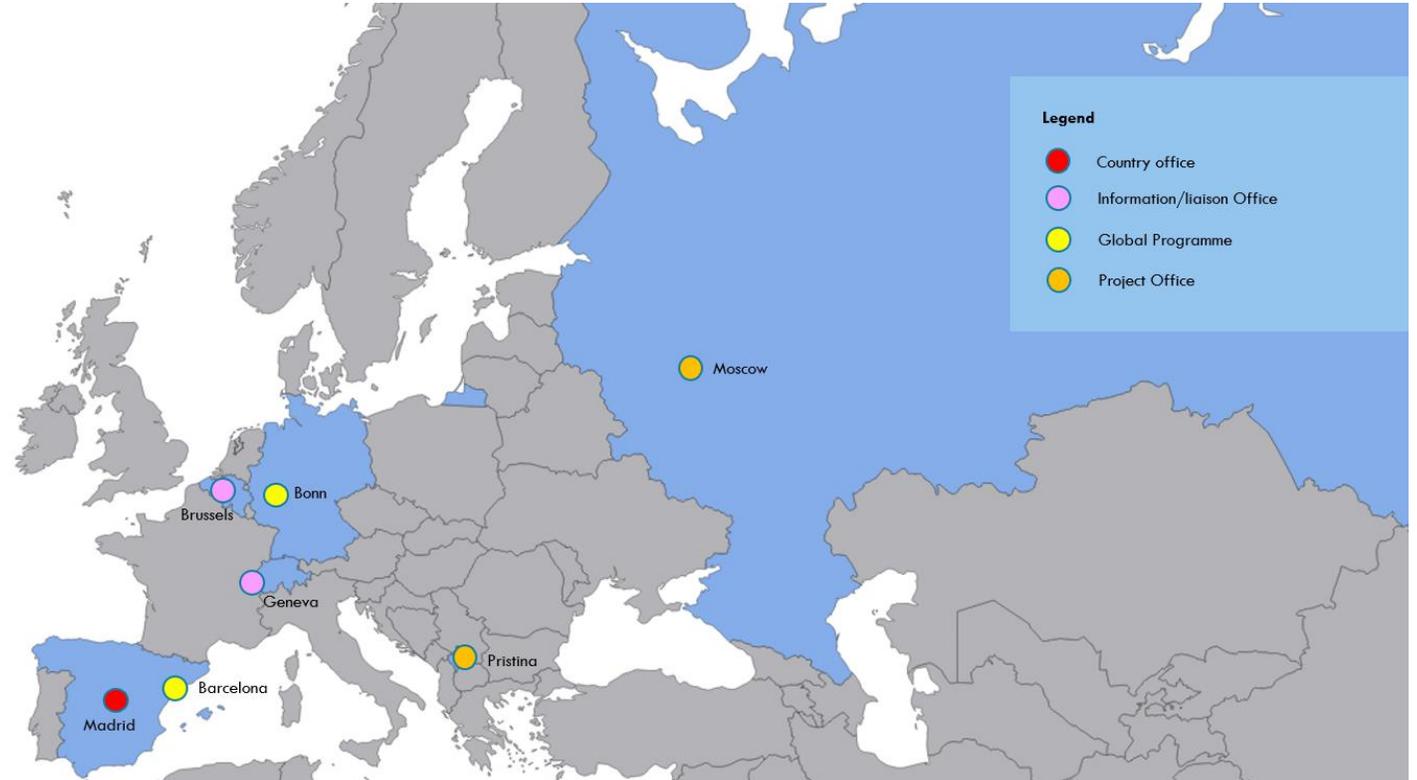
Alignment of the Programme's presence at locations away from headquarters will be designed to ensure optimal deployment of policy and operational assets to help countries to deliver on the 2030 Agenda.



Update on the organizational restructuring of UN-Habitat

Strategy for Europe and Central Asia

Drawing from the principles established in the Policy Paper, the regional strategy was presented to the UN-Habitat Executive Committee and endorsed by the Executive Director in March 2021





Update on the organizational restructuring of UN-Habitat

Strategy for Latin America and the Caribbean

UN-Habitat’s regional strategy for its presence in Latin America & the Caribbean was presented to the Executive Committee and endorsed by the Executive Director in May 2021

The strategy calls for close cooperation with sister UN entities and Member States to meet specific needs, including

- Climate adaptation, including nature-based solutions;
- Resilience building and risk reduction
- Adequate housing, including access to robust urban basic services
- Partnership with sister UN agencies to bolster the Safer Cities Global Programme;
- Financing of sustainable infrastructure through the City Investment Facility;



UN-Habitat is currently refining its regional strategy for the Programme's presence **in the Arab States region.**

Strategies for the **Asia-Pacific and Africa** regions are also forthcoming.

Unearmarked Foundation funding remains low

Frontier technologies present new and exciting opportunities

Secretary-General's reforms and the 'One UN' principle

THANK YOU!

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UN-HABITAT COST RECOVERY POLICIES AND METHODOLOGIES 2021 UPDATE

Revenue and expenses for the programme support fund for the period 2019–2021 (Thousands of United States dollars)

Description	2017	2018	2019	2020	2021*
Total revenue	9 975.0	11 543.0	10 400.0	12,709.0	10 625.2
Total expenses	10 277.0	12 514.0	12 700.0	13 304.0	11 854.0

* 2021 projected figures.

Programme support revenue as a percentage of earmarked fund revenue for the period 2016–2021 (Thousands of United States dollars rounded)

Programme support cost revenue	10.0	11.5	10.4	12.7	8.8*
Foundation earmarked	35.1	47.5	29.2	56.8	38.9
Technical cooperation	116.7	112.8	121.8	116.0	84.7
Total, earmarked funds	151.8	160.3	151.0	172.8	123.6
Programme support cost revenue as a percentage of earmarked funds	6.6	7.2	6.9	7.3	7.1

* 2021 figures are as at 31 October 2021.



IMPLEMENTATION OF THE ACCOUNTABILITY FRAMEWORK

THANK YOU!

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