Organizational and Personnel Accountability within UN-Habitat
(implementing the accountability framework of the United Nations Secretariat)
United Nations Secretariat developed an Accountability Framework pursuant to the General Assembly resolution 63/276;

UN-Habitat, as part of the United Nations Secretariat, operates under the UN Secretariat accountability framework;

The document entitled “Organizational and personnel accountability within the United Nations Human Settlements Programme: implementing the accountability framework of the United Nations Secretariat” is submitted pursuant to paragraph 7 of decision 2019/1 of the Executive Board of UN-Habitat;

This presentation is intended to illustrate how the Secretariat accountability framework is applied to the specific environment of UN-Habitat, as a programme of the Secretariat;
Item 3 – Accountability Framework Components

I- DEFINITION
(Institutional, Programmatic, Resource, Staff)
ACCOUNTABILITY

II-PILLARS

III-PRINCIPLES

IV- INSTRUMENTS

V-ACTORS
Definition (General Assembly resolution 64/259) “the obligation of the Secretariat and its staff members to be answerable for all decisions made and actions taken by them and to be responsible for honouring their commitments, without qualification or exception.”

❖ Institutional accountability: UN-Habitat organizational and personnel accountability is founded upon the mission and mandates of the Programme, as approved by the governing bodies and in accordance with the provisions and principles of the Charter. UN-Habitat is accountable to its governing bodies through the Executive Director,

❖ Programmatic accountability: UN-Habitat programmatic accountability is defined through its strategic plans and its approved annual programme of work. The Executive Director is accountable and responsible for all the activities of UN-Habitat, as well as its administration.

❖ Resource accountability: UN-Habitat managers and staff members are accountable for the effective management of the human, financial, physical, information and contractual resources entrusted to them. Managers are accountable to the Executive Directors on the use of resources.

❖ Staff accountability: Staff are accountable for exercising their authority and achieving agreed results as outlined in their individual performance appraisals. Staff are accountable to the managers on their performance.
### Pillars (5)

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<tr>
<th><strong>Results:</strong></th>
<th>high-quality, timely and cost-effective;</th>
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<tr>
<td><strong>Delivery:</strong></td>
<td>Fully implementing and delivering on all mandates to the Secretariat;</td>
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<td><strong>Reporting:</strong></td>
<td>Truthful, objective, accurate, timely</td>
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<td><strong>Stewardship:</strong></td>
<td>Responsible use of funds and resources;</td>
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<td><strong>Performance:</strong></td>
<td>System of rewards and sanctions; key role of the oversight bodies</td>
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### Accountability Framework – Component III: Principles

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<th>Principles (5)</th>
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<tr>
<td><strong>Integrity</strong>: Maintaining a sense of duty and purpose to uphold the values of the United Nations and to act with honesty and with the best interest of the Organization in mind;</td>
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<td><strong>Transparency</strong>: Making timely information relating to the activities of the organization accessible to all stakeholders;</td>
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<td><strong>Decentralization</strong>: Delegating authority at all levels of management, to bring decision-making closer to the point of delivery, while ensuring transparency and accountability;</td>
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<td><strong>Simplicity</strong>: Establishing a policy framework that is fit for purpose and easy to understand, interpret and apply;</td>
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<td><strong>Diversity</strong>: Accommodating a global organization with a culturally and geographically diverse, gender-balanced, international and multitalented workforce, through an effective and easily accessible policy framework.</td>
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THE CHARTER OF THE UNITED NATIONS

PROGRAMME MISSION AND MANDATES
1. GA resolutions 33/27 (XXXIII), 32/162, 2012
2. New Urban Agenda
3. SDGs (SDG11)

STRATEGIC AND PROGRAMME PLANNING AND BUDGETING
1. Quadrennial strategic plan
2. Annual Programme of Work and Budget

RESULTS AND PERFORMANCE
1. Institutional Performance
2. Leadership Performance
3. Individual Performance

INTERNAL CONTROLS SYSTEMS
1. Rules, Regulations
2. Policies, Procedures
3. Delegation of Authorities
4. Enterprise Risk Management
5. IPSAS
6. SAP Umoja
7. Etc.

OVERSIGHT FUNCTIONS
United Nations Joint Inspection Unit (UNJIU)
Office of Internal Oversight Services (OIOS)
United Nations Board of Auditors (UNBOA)

ETHICAL STANDARDS AND INTEGRITY
1. Rules and Regulations
2. Codes of Conduct
3. Conflict of Interest Policies
4. Financial Disclosure Programmes
5. Prevention of Misuse
6. Preventing Sexual Exploitation and Abuse

V. THE CHARTER OF THE UNITED NATIONS

VI. OVERSIGHT FUNCTIONS
United Nations Joint Inspection Unit (UNJIU)
Office of Internal Oversight Services (OIOS)
United Nations Board of Auditors (UNBOA)

IV. RESULTS AND PERFORMANCE
1. Institutional Performance
2. Leadership Performance
3. Individual Performance

UNO HABITAT
FOR A BETTER URBAN FUTURE

6TH MEETING OF THE AD-HOC WORKING GROUP ON PROGRAMMATIC, BUDGETARY AND ADMINISTRATIVE MATTERS OF THE EXECUTIVE BOARD

Monday, 29 September 2020
Accountability Framework – Component V : Actors

**Governing bodies**
- General Assembly
- United Nations Habitat Assembly
- Committee of Permanent Representatives
- Executive Board

**Secretary-General and United Nations Secretariat**

**Executive Director and Programme secretariat**

**Programme personnel**
Accountability Framework: Assessing and Monitoring accountability in UN-Habitat

a) **Executive Board** : review of, among other things, the annual report on the implementation of the Programme’s strategic plan, the progress report on its financial status, the report on staffing and the report on recruitment, equitable geographical distribution and gender parity;

b) **Annual review and strengthening of Secretariat accountability by the Secretary-General and the General Assembly**

c) **Management review by the Joint Inspection Unit**: In 2021, the Joint Inspection Unit is scheduled to conduct an independent review of the management and administration of UN-Habitat;

d) **Annual external audit by the Board of Auditors**;

e) **Annual internal audits, investigations, inspections and evaluations by the Office of Internal Oversight Services** ;
Accountability Framework: Assessing and Monitoring accountability in UN-Habitat

(f) Continuous monitoring by the Business Transformation and Accountability Division of the Department of Management Strategy, Policy and Compliance.

(g) Independent evaluations: Independent Evaluation Unit established in January 2012

(h) Continuous oversight of the accountability system and risk management processes: a risk oversight and accountability committee at the senior management level,

(i) **Certification of internal controls**: Certification of the adequacy of the internal control system from 2021

(j) In-house focal point for conduct and discipline
THANK YOU!

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