



UN HABITAT Executive Board of the United Nations Human Settlements Programme

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Executive Board of the United Nations Human Settlements Programme First session of 2020 Online, 29 June 2020 Agenda item 3: Financial, budgetary and administrative matters

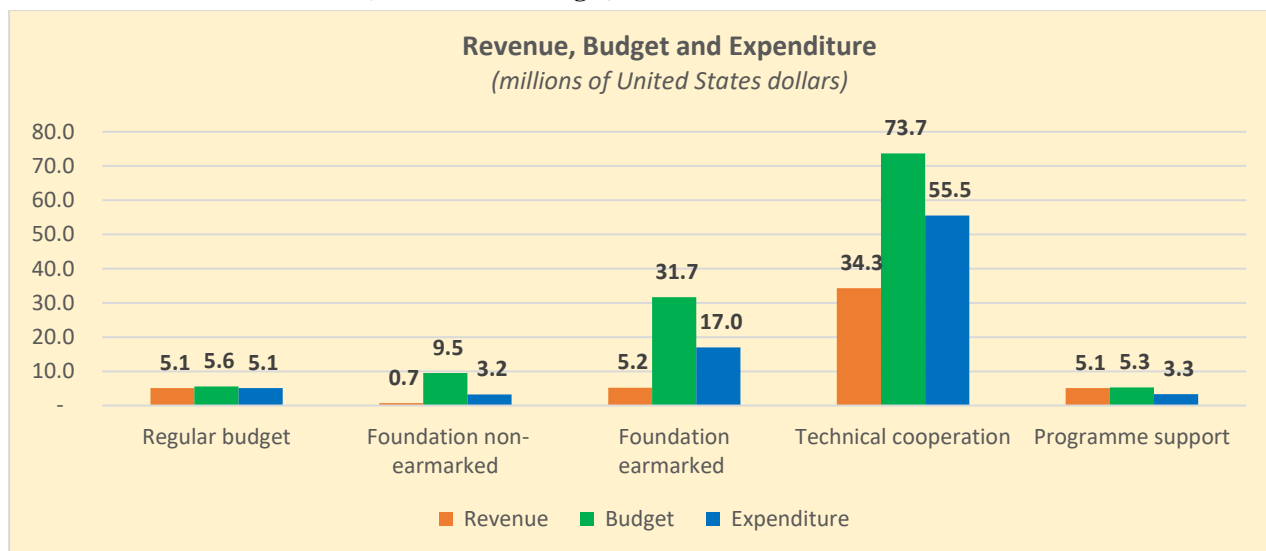
Report of the Executive Director

Implementation of the strategic plan for the period 2020–2023: Interim financial status as at 24 June 2020

I. Introduction

1. The present report provides an overview of the financial position of UN-Habitat as at 24 June 2020 and describes the situation of the United Nations Habitat and Human Settlements Foundation (non-earmarked). The report also highlights the performance of the other funding segments, namely the United Nations regular budget allocation to UN-Habitat, programme support, Foundation earmarked and Technical cooperation funds.

Figure 1 Status of UN-Habitat funds (Semi Annual Budget)

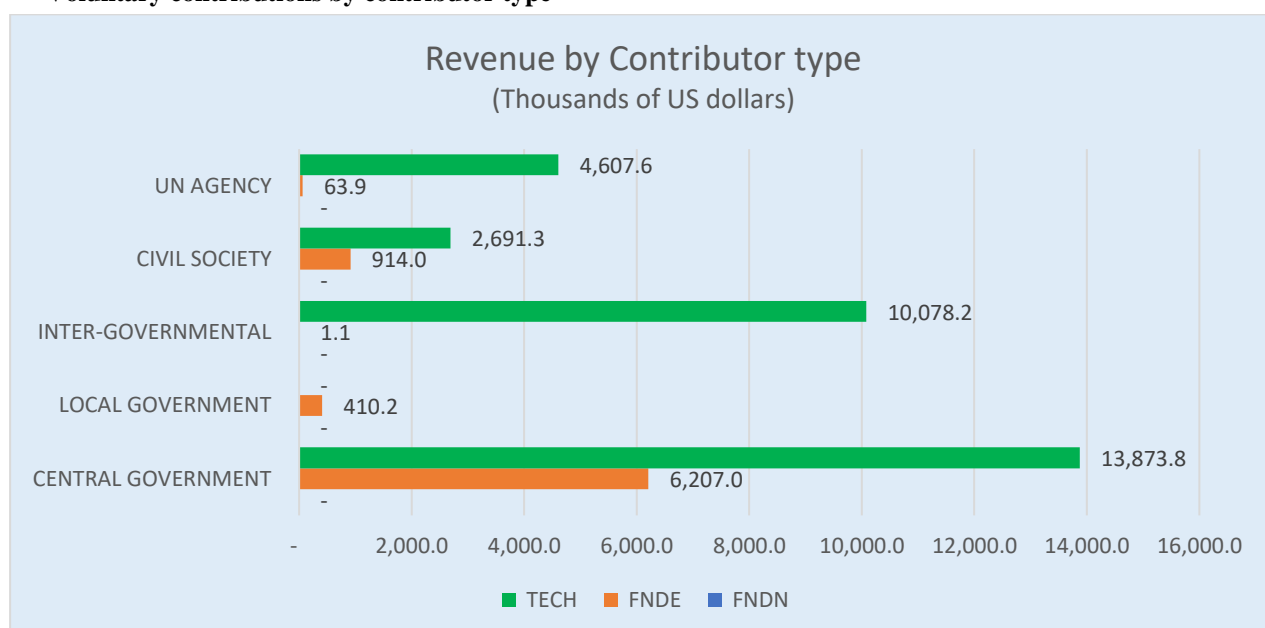


2. Figure 1 provides the funding overview for 2020 of all the major UN-Habitat funding segments alongside figures drawn from the approved work programme budget for the year 2020. The report shows the revenue or contributions as well as expenditure in the reporting period. More detailed information is set out in the annexes to the present report.

3. Foundation non-earmarked voluntary contribution funding in the reporting period amounted to \$0.7 million. The Foundation non-earmarked fund requires sustainable recurrent annual contributions in order for UN-Habitat to achieve the anticipated outcomes and meet the mandated deliverables of its work programme. The revenue for the period fell below the total expenditure of \$3.2 million thereby registering a deficit.

4. Figure 2 shows the composition of the voluntary contributions received during the period by contributor type.

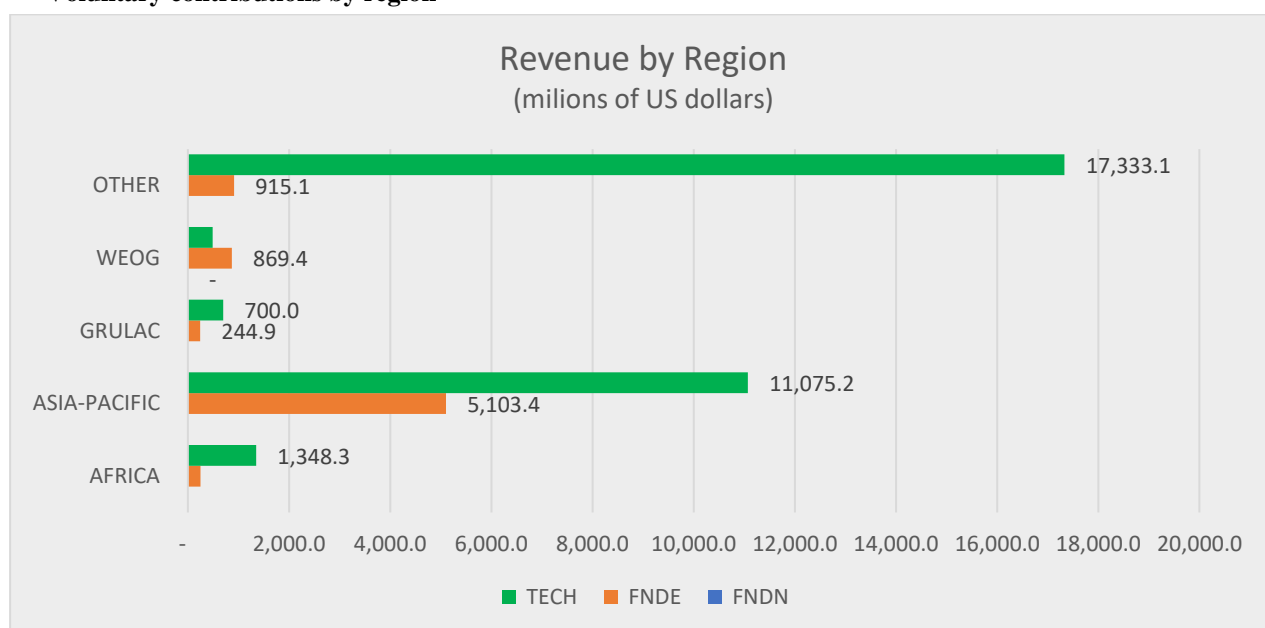
Figure 2\*

**Voluntary contributions by contributor type**

\*FNDN, Foundation non-earmarked; FNDE, Foundation earmarked; TECH, Technical cooperation

5. **Figure 3** shows the composition of the voluntary contributions received during the period by region.

Figure 3\*

**Voluntary contributions by region**

\*FNDN, Foundation non-earmarked; FNDE, Foundation earmarked; TECH, Technical cooperation

Other consists of UN Agencies, Civil Society, and Inter-Governmental

## II. Performance of core funds

6. The Foundation non-earmarked fund and regular budget allocations are considered to be constituent elements of the “core resources” of UN-Habitat.

### A. Foundation non-earmarked fund

7. In accordance with rule 302.6 of the Financial Regulations of the United Nations for the United Nations Habitat and Human Settlements Foundation, and Financial Rules of the United Nations Habitat and Human Settlements Foundation and of Other Extrabudgetary Resources of UN-Habitat (ST/SGB/2015/4), Foundation non-earmarked funds, otherwise known as the Foundation general purpose fund, are allocated against budget priorities

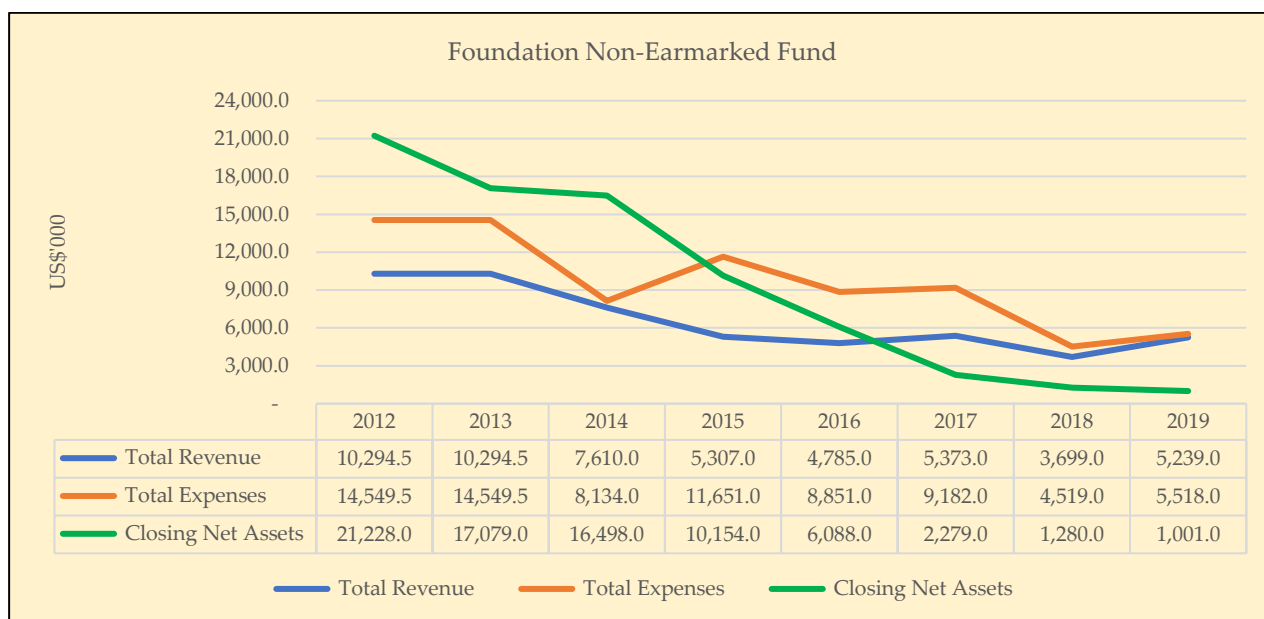
agreed by the UN-Habitat policymaking bodies – previously the Governing Council of UN-Habitat, which was dissolved and replaced by the UN-Habitat Assembly pursuant to General Assembly resolution 73/239 of 20 December 2018. The UN-Habitat Assembly established an Executive Board in decision HSP/HA.1/HLS.2.

8. Traditionally, the main source of Foundation non-earmarked revenue was non-earmarked contributions from Member States. The non-earmarked fund constitutes the main source of funding to implement the UN-Habitat strategic plan for mandated normative work, required executive direction and management, and a baseline level of programme support.

9. The approved budget for the reporting period is \$9.5 million (equivalent to 6 months proportion of the approved budget for the year 2020 - \$18.9 million). Total voluntary contributions received as at 24 June 2020 amounted to \$0.7 million while expenditures for the same period totalled \$3.2 million. The expenditures were \$3.1 million on position costs and \$0.1 million on operating expenditures, resulting in a net operational deficit of \$2.5 million, and a requirement to cover operational expenditures for normative work from the programme support cost fund. A remaining statutory operational reserve of \$1.9 million is expected as at 31 December 2020 based on 10 per cent of the \$18.9 million approved budget.

Figure 4\*

#### Trends in the Foundation non-earmarked fund<sup>a</sup>



<sup>a</sup> Closing net assets value is before loan from programme support account

10. **Figure 4** shows a constant and long-term decline in revenue, which has not been matched by reductions in expenditure.

11. In 2017, UN-Habitat management approved a \$3.0 million loan from the programme support account to the Foundation non-earmarked fund. To arrest depletion in the net asset value, UN-Habitat continued to apply the austerity measures adopted in 2018. Nevertheless, a risk still exists that it June not be possible to repay the loan from the programme support account, in which case the net asset value of the programme support account will need to be reduced accordingly.

### B. Regular budget (Section 15)

12. Approved by the General Assembly, the regular budget appropriation to UN-Habitat is provided under section 15 (Human settlements). In 2020, the final appropriation amounted to \$11.2 million in the form of an authorization to expend against specific items within a set envelope. Approximately, 97.8 per cent of the \$5.1 million expenditure recorded by 24 June 2020 relates to staff costs. Please note the 2021 Section 15 proposed budget (A/74/6 (Sect.15) amounts to \$12.5 million as stated in table 15.7, which is a zero growth rate prior to re-costing.

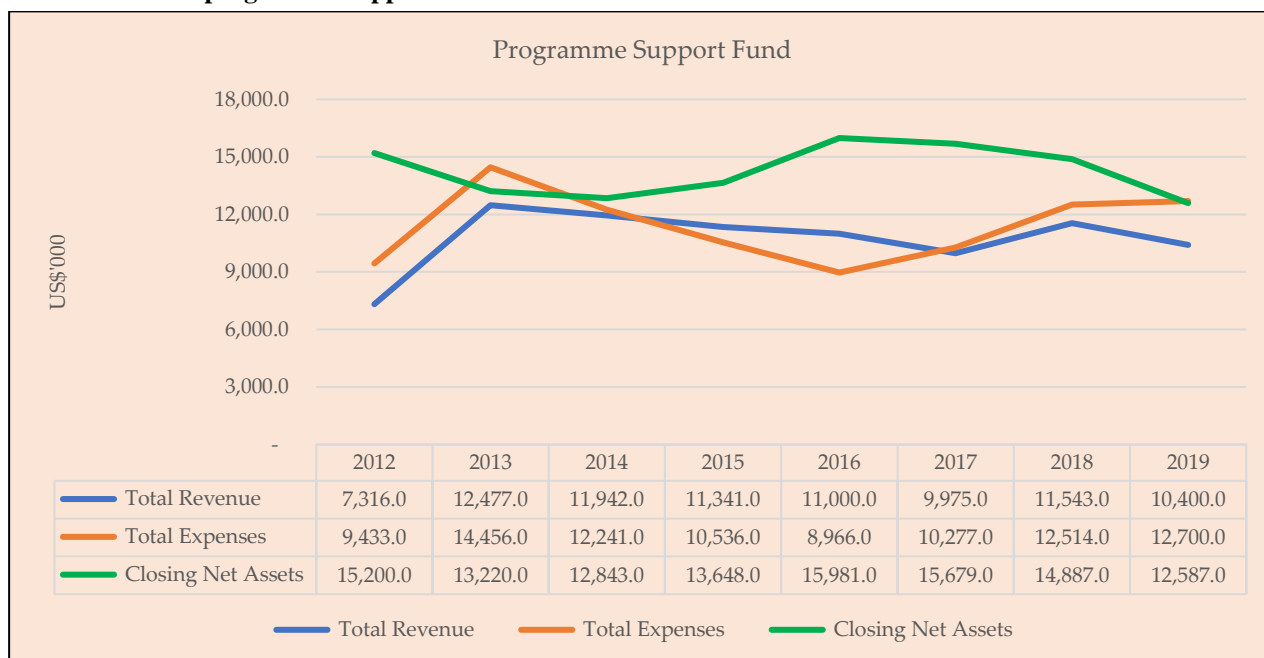
### C. Programme support

13. Programme support resources are generated through the charge levied as a percentage of direct earmarked expenditures. The charge is intended to recover the incremental indirect costs associated with supporting earmarked activities. Those funds are acquired to ensure that the additional cost of supporting activities financed from earmarked contributions is not borne by funds intended for the approved programme of work.

14. The 2020 programme support budget approved by the Executive Director totalled \$10.6 million. Programme support revenue as at 24 June 2020, excluding other revenue, amounted to \$5.0 million against expenditure for the same period of \$3.2 million (of which 64.8 per cent was used to fund posts). During the June 2020 monthly closing the UNON Common Service Charges \$0.1 million for staff funded by PSC and UNON Core Services \$1.0 million provided to all earmarked projects will be applied resulting in total expenditures of \$4.3 million as at 30 June 2020.

Figure 5\*

#### Trends in the programme support fund



<sup>a</sup> Closing net assets value is before loan to foundation non-earmarked account

15. **Figure 5** shows that the UN-Habitat programme support fund has been subject to a year-end reduction in fund balance at the start of the year 2020. Total revenue for the interim reporting period in 2020 has exceeded expenditures. The savings are partially a result of suspension in travel and in recruiting programme management staff, replacing staff who left the organisation, due to the COVID-19 pandemic.

16. As is mentioned above, it should be noted that, in 2017, \$3.0 million was loaned to the Foundation non-earmarked fund from the programme support fund. Based on the performance of the Foundation non-earmarked fund in the reporting period, that loan is projected to be uncollectable given the low UN-Habitat non-earmarked fund contributions. The net asset value reflected in figure 5 would therefore need to be reduced by that amount.

#### D. Regular budget (Section 23 and Section 35)

17. UN-Habitat is also provided regular budget resources under Section 23 and Section 35. Approved by the General Assembly, the regular budget appropriation to UN-Habitat provided under section 23 (Regional programme of technical cooperation) amounted to \$1.0 million for which expenditure amounted to \$0.5 million in the reporting period. The final appropriation for Section 35 (Development account) in 2020 amounted to \$1.7 million from which \$0.4 million was implemented in the period.

#### E. Other funds

18. Revenue from other funds amounted to \$0.6 million with total expenditure amounting to \$0.4 million realising a surplus of \$0.2 million.

#### F. Summary

19. The total operational deficit for the reporting period against the foundation non-earmarked fund is \$2.5 million. On the other hand, the programme support account realised an operational surplus of \$1.8 million but will have a surplus of \$0.7 million as at 30 June 2020. In accordance with the prevailing administrative instructions, programme support resources should be used to finance the indirect support provided to the activities that generate

that revenue, including management, programme and project support. Based on the current funding levels of the programme support fund, it should be understood that in the current context, the fund balance in the account cannot continue to be used to offset the operational deficits in the Foundation non-earmarked fund until such time as ongoing fundraising efforts and/or austerity measures successfully ensure a balance between revenue and expenditure.

## **G. Performance of earmarked funds**

### **1. Foundation earmarked**

20. Contributions from Member States and other donors to Foundation earmarked resources are intended for the implementation of specific activities in the work programme that are consistent with the mandate of UN-Habitat. Such activities generally comprise global, thematic and multi-country projects.

21. The approved budget for 2020 is \$63.5 million. The proportional budget relating to the reporting period is \$31.7 million (equivalent to 6 months proportion of the approved budget for the year). As at 24 June 2020, total Foundation earmarked voluntary contributions revenue was \$7.6 million with total revenue being \$5.2 million when other revenue accounts are factored in while the expenditure incurred was \$17.0 million. As reflected in annex I to the present report, the fund balance (carry forward project balances) at the end of the reporting period amounted to \$80.0 million, including a reserve of \$4.0 million. The reported deficit is notional as it is a result of implementation of part of the resources carried over from 2019.

### **2. Technical cooperation**

22. Technical cooperation contributions are earmarked voluntary resources from Member States and other donors for the implementation of specific, technical regional and country-level activities consistent with the UN-Habitat mandate and work programme.

23. The 2020 approved budget for technical cooperation is \$147.4 million. The budget for the reporting period amounted to \$73.7 million (equivalent to 6 months proportion of the estimated budget for the 2020). As at 24 June 2020, the total revenue received was \$34.3 million which included voluntary contributions of \$31.2 million while the expenditure incurred was \$55.5 million. As reflected in annex I, the fund balance at the end of the reporting period amounted to \$217.5 million (carry forward project balances), including a reserve of \$11.4 million.

## **H. Conclusion**

24. A summary of the revenue, expenses, fund balances and reserves is set out in annex I, while the status of the Foundation non-earmarked contributions is set out in annex II. Annex III sets out the status of Earmarked contributions as at 24 June 2020 while the list of the top 10 contributors for the period to 31 December 2019 are shown in annexes IV.

## Annex I

## Interim Statement of financial performance for the period ended 24 June 2020 (by Segment)\*

(thousands of United States dollars)

| Category                               | REGB           | FNDN             | FNDNE             | TECH              | PRGS           | Total-1           | DEVT         | RPTC         | INHA         | EOSB            | Total-2         | Intersegment elimination | Grand total       |
|--|----------------|------------------|-------------------|-------------------|----------------|-------------------|--------------|--------------|--------------|-----------------|-----------------|--------------------------|-------------------|
| R01.Assessed contributions             | 5,071.6        | -                | -                 | -                 | -              | 5,071.6           | 211.0        | 504.8        | -            | -               | 715.8           | -                        | 5,787.4           |
| R02.Voluntary contributions            | -              | 734.2            | 7,596.2           | 25,366.4          | -              | 33,696.8          | -            | -            | -            | -               | -               | -                        | 33,696.8          |
| R03.Other transfers and allocations    | -              | -                | (41.5)            | 5,884.6           | -              | 5,843.1           | -            | -            | -            | -               | -               | -                        | 5,843.1           |
| R04.Refunds to donors                  | -              | -                | (873.2)           | 830.3             | -              | (42.9)            | -            | -            | -            | -               | -               | -                        | (42.9)            |
| R05.Programme support revenue          | -              | -                | -                 | -                 | 5,047.4        | 5,047.4           | -            | -            | -            | -               | -               | (5,047.4)                | -                 |
| R06.Investment revenue                 | 1.4            | (67.8)           | (1,436.5)         | (1,760.3)         | 8.1            | (3,255.1)         | -            | -            | (0.6)        | 4.5             | 3.9             | 0.6                      | (3,250.6)         |
| R07.Other revenue                      | -              | 9.8              | (41.0)            | 3,947.5           | (1.2)          | 3,915.1           | -            | -            | 651.4        | (1.3)           | 650.1           | (651.4)                  | 3,913.8           |
| <b>TOTAL REVENUE</b>                   | <b>5,073.0</b> | <b>676.2</b>     | <b>5,204.0</b>    | <b>34,268.5</b>   | <b>5,054.3</b> | <b>50,276.0</b>   | <b>211.0</b> | <b>504.8</b> | <b>650.8</b> | <b>3.2</b>      | <b>1,369.8</b>  | <b>(5,698.2)</b>         | <b>45,947.6</b>   |
| E01.Post Costs                         | 4,958.9        | 3,090.4          | -                 | -                 | 2,110.6        | 10,159.9          | -            | 142.3        | -            | -               | 142.3           | -                        | 10,302.2          |
| E02.Other staff costs                  | 71.9           | -                | 4,669.4           | 5,015.7           | 672.7          | 10,429.7          | 7.4          | 343.3        | 105.4        | -               | 456.1           | (105.4)                  | 10,780.4          |
| E03.Contractual Services               | 5.4            | 3.0              | 940.4             | 2,549.4           | 19.9           | 3,518.1           | 18.6         | -            | 23.4         | -               | 42.0            | (23.4)                   | 3,536.7           |
| E04.General Operating Expenses         | 12.2           | 0.4              | 742.4             | 6,255.9           | 163.0          | 7,173.9           | 12.9         | 1.9          | 14.3         | -               | 29.1            | (14.3)                   | 7,188.7           |
| E05.Consultant and Experts             | 47.3           | 55.8             | 5,031.8           | 13,273.0          | 175.7          | 18,583.6          | 133.9        | -            | 242.8        | -               | 376.7           | (242.8)                  | 18,717.5          |
| E06.Travel expenses                    | 4.7            | 5.8              | 1,620.7           | 702.2             | 10.4           | 2,343.8           | 22.7         | 14.7         | 1.0          | -               | 38.4            | (1.0)                    | 2,381.2           |
| E07.Supplies and materials             | -              | -                | 21.7              | 217.7             | 24.6           | 264.0             | -            | -            | -            | -               | -               | -                        | 264.0             |
| E08.Furniture and equipment            | 2.8            | -                | 47.2              | 493.9             | 27.6           | 571.5             | -            | 2.5          | 13.2         | -               | 15.7            | (13.2)                   | 574.0             |
| E09.Grants and Contributions           | (30.2)         | -                | 3,948.9           | 26,942.5          | 51.2           | 30,912.4          | 15.5         | 0.1          | -            | -               | 15.6            | (5,047.4)                | 25,880.6          |
| E10.Other costs                        | -              | -                | 5.5               | 37.1              | -              | 42.6              | -            | -            | -            | -               | -               | -                        | 42.6              |
| <b>TOTAL EXPENDITURE</b>               | <b>5,073.0</b> | <b>3,155.4</b>   | <b>17,028.0</b>   | <b>55,487.4</b>   | <b>3,255.7</b> | <b>83,999.5</b>   | <b>211.0</b> | <b>504.8</b> | <b>400.1</b> | <b>-</b>        | <b>1,115.9</b>  | <b>(5,447.5)</b>         | <b>79,667.9</b>   |
| <b>SURPLUS/(DEFICIT)</b>               | <b>-</b>       | <b>(2,479.2)</b> | <b>(11,824.0)</b> | <b>(21,218.9)</b> | <b>1,798.6</b> | <b>(33,723.5)</b> | <b>-</b>     | <b>-</b>     | <b>250.7</b> | <b>3.2</b>      | <b>253.9</b>    | <b>(250.7)</b>           | <b>(33,720.3)</b> |
| <b>GROSS fund balances: 01-01-2020</b> | <b>-</b>       | <b>1,001</b>     | <b>91,793</b>     | <b>238,720</b>    | <b>11,611</b>  | <b>343,125</b>    | <b>-</b>     | <b>-</b>     | <b>976</b>   | <b>(25,115)</b> | <b>(24,139)</b> | <b>(976)</b>             | <b>318,010</b>    |
| GROSS fund balances: 24-06-2020        | -              | (1,478)          | 79,969            | 217,501           | 13,410         | 309,402           | -            | -            | 1,227        | (25,112)        | (23,885)        | (1,227)                  | 284,290           |
| Statutory Operating Reserves           | -              | 1,893            | 4,023             | 11,360            | 2,932          | 20,208            | -            | -            | -            | -               | -               | -                        | 20,208            |
| <b>NET fund balances: 24-06-2020</b>   | <b>-</b>       | <b>(3,371)</b>   | <b>75,946</b>     | <b>206,141</b>    | <b>10,478</b>  | <b>289,194</b>    | <b>-</b>     | <b>-</b>     | <b>1,227</b> | <b>(25,112)</b> | <b>(23,885)</b> | <b>(1,227)</b>           | <b>264,082</b>    |

\*REGB, Regular budget; FNDN, Foundation non-earmarked; FNDNE, Foundation earmarked; TECH, Technical cooperation; PRGS, Programme support; DEVT, Development account (S.35); RPTC, Regular programme of technical cooperation (S.23); INHA, In-house agreements; EOSB, End of service and employment benefits.

## Annex II

### Status of the Foundation non-earmarked contributions as at 24 June 2020

(United States dollars)

| Country                  | 31-12-2015       | 31-12-2016       | 31-12-2017       | 31-12-2018       | 31-12-2019*      | 31-03-2020     |
|--------------------------|------------------|------------------|------------------|------------------|------------------|----------------|
| Algeria                  | -                | 10,000           | -                | -                | -                | -              |
| Argentina                | 5,000            | 10,000           | -                | -                | -                | -              |
| Barbados                 | 6,074            | -                | 14,815           | 14,815           | 14,700           | -              |
| Botswana                 | -                | -                | -                | 50,000           | 20,000           | -              |
| Burkina Faso             | 34,430           | -                | -                | -                | 16,886           | -              |
| Chile                    | 5,000            | 5,000            | 5,000            | 5,000            | 5,000            | -              |
| China                    | 350,000          | 350,000          | 350,000          | 350,000          | 350,000          | 350,000        |
| Cote D'ivore             | -                | -                | 25,000           | -                | -                | -              |
| Czech Republic           | -                | -                | 6,892            | 6,547            | 6,463            | -              |
| Eritrea                  | -                | 50,000           | -                | -                | -                | -              |
| Finland                  | 517,188          | -                | -                | -                | -                | -              |
| France                   | -                | 105,860          | -                | -                | 225,220          | 227,740        |
| Haiti                    | -                | -                | 9,910            | 10,000           | -                | -              |
| India                    | 60,246           | 247,227          | 100,000          | 100,000          | 150,000          | -              |
| Iraq                     | -                | -                | -                | -                | 50,000           | -              |
| Israel                   | 10,000           | 10,000           | 10,000           | -                | -                | -              |
| Japan                    | 35,586           | -                | 117,136          | -                | 34,950           | 31,455         |
| Kenya                    | -                | -                | 68,306           | 206,678          | 96,398           | -              |
| Kiribati                 | -                | -                | -                | 25,000           | -                | -              |
| Kuwait                   | -                | -                | -                | -                | -                | -              |
| Malawi                   | -                | -                | -                | -                | 10,000           | -              |
| Malaysia                 | -                | -                | -                | 1,200,000        | -                | -              |
| Myanmar                  | -                | -                | 10,000           | -                | -                | 9,980          |
| Namibia                  | -                | -                | 150,000          | -                | -                | -              |
| Norway                   | 638,488          | 598,982          | 593,014          | 632,631          | 2,356,129        | -              |
| Pakistan                 | 5,994            | 6,000            | -                | 6,000            | 6,000            | -              |
| Philippines              | -                | 15,000           | 15,000           | 25,000           | 2,500            | -              |
| Republic of Korea        | 90,416           | 83,783           | 87,596           | 89,204           | 80,378           | -              |
| Senegal                  | -                | -                | -                | -                | 9,988            | -              |
| Sierra Leone             | -                | -                | 10,000           | -                | -                | -              |
| Singapore                | -                | -                | -                | -                | 50,000           | -              |
| Slovakia                 | -                | 15,609           | -                | -                | -                | -              |
| South Africa             | 120,000          | -                | 283,560          | 150,000          | 160,000          | -              |
| South Sudan              | -                | -                | -                | -                | 40,000           | -              |
| Spain                    | -                | -                | -                | -                | 977,000          | -              |
| Sri Lanka                | 25,000           | 25,000           | 25,000           | 25,000           | 23,000           | -              |
| Tanzania                 | -                | -                | -                | 9,886            | -                | -              |
| Tunisia                  | 602              | -                | -                | -                | -                | -              |
| United States of America | 600,000          | 766,838          | 692,000          | 672,974          | 650,000          | -              |
| Zambia                   | -                | -                | 24,970           | 7,820            | -                | -              |
| Zimbabwe                 | -                | 30,225           | -                | -                | -                | -              |
| <b>Total</b>             | <b>2 504 024</b> | <b>2 329 523</b> | <b>2 598 199</b> | <b>3 586 554</b> | <b>5 334 612</b> | <b>619,175</b> |

\*Includes \$221,398 received in 2020 for the year 2019

## Annex III

### Earmarked Voluntary Contributions as at 24 June 2020

(In United States dollars)

| DONOR CODE | DONOR NAME                          | COUNTRY              | REGION         | TOTAL     | FNDE      | TECH      |
|------------|-------------------------------------|----------------------|----------------|-----------|-----------|-----------|
| 1111000028 | PM OF CAMEROON TO THE UNITED NATION | Cameroon             | AFRICA         | 66,541    | -         | 66,541    |
| 1111000062 | PM OF GERMANY TO THE UNITED NATIONS | Germany              | WEOG           | 277,189   | 277,189   | -         |
| 1111000077 | PM OF IRAQ TO THE UNITED NATIONS    | Iraq                 | ASIA-PACIFIC   | 454,000   | -         | 454,000   |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 2,970,297 | -         | 2,970,297 |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 178,526   | 178,526   | -         |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 861,018   | 861,018   | -         |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 427,272   | -         | 427,272   |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 3,636,363 | -         | 3,636,363 |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 800,000   | -         | 800,000   |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 1,818,181 | -         | 1,818,181 |
| 1111000082 | PM OF JAPAN TO THE UNITED NATIONS   | Japan                | ASIA-PACIFIC   | 969,019   | -         | 969,019   |
| 1111000115 | PM OF THE K OF THE NETHERLANDS TO T | Netherlands          | WEOG           | 241,364   | -         | 241,364   |
| 1300000100 | EUROPEAN COMMISSION                 | OTHER                | OTHER          | 271       | 271       | -         |
| 1300000103 | EUROPEAN COMMISSION                 | OTHER                | OTHER          | 37,428    | -         | 37,428    |
| 1300000335 | GOVERNMENT OF GAMBIA                | Gambia               | AFRICA         | 250,000   | 250,000   | -         |
| 1300001956 | SWISS AGENCY FOR DEVELOPMENT AND    | Switzerland          | WEOG           | 247,129   | -         | 247,129   |
| 1300003911 | MINISTERIO DE FOMENTO - ESPA±A      | Spain                | WEOG           | 110,000   | 110,000   | -         |
| 1300005493 | CITY COUNCIL OF MADRID              | Spain                | WEOG           | 270,197   | 270,197   | -         |
| 1300007087 | PROSPERITY FUND GLOBAL FUTURE CITIE | OTHER                | OTHER          | 609,360   | 609,360   | -         |
| 1300007640 | THE ABU DHABI DEPARTMENT OF URBAN   | United Arab Emirates | ASIA-PACIFIC   | 4,000,000 | 4,000,000 | -         |
| 1300008534 | GOBIERNO PROVINCIAL DE MANABI       | Ecuador              | GRULAC         | 700,000   | -         | 700,000   |
| 1300008564 | GOBIERNO DEL PAIS VASCO             | Spain                | WEOG           | 212,000   | 212,000   | -         |
| 1300008565 | MUNICIPAL GOVERNMENT OF GENERAL     | Mexico               | GRULAC         | 140,000   | 140,000   | -         |
| 1300008590 | EMBASSY OF THAILAND-KENYA           | Kenya                | AFRICA         | 101,823   | -         | 101,823   |
| 1300008676 | DEPARTMENT OF FOREIGN AFFAIRS TRADE | Mozambique           | AFRICA         | 858,984   | -         | 858,984   |
| 1300008696 | PREFEITURA MUNICIPAL DE TERESINA    | Brazil               | GRULAC         | 104,940   | 104,940   | -         |
| 1400000020 | UNDP MPTF (Multi-Partner Trust Fund | OTHER                | OTHER          | 169,060   | -         | 169,060   |
| 1400000021 | UNOPS-HQ                            | OTHER                | OTHER          | 349,992   | -         | 349,992   |
| 1400000217 | UNDP-SAUDI ARABIA                   | OTHER                | OTHER          | 1,585,000 | -         | 1,585,000 |
| 1400000338 | UNICEF-MOZAMBIQUE                   | OTHER                | OTHER          | 329,626   | -         | 329,626   |
| 1400000338 | UNICEF-MOZAMBIQUE                   | OTHER                | OTHER          | 1,121,606 | -         | 1,121,606 |
| 1400000338 | UNICEF-MOZAMBIQUE                   | OTHER                | OTHER          | 312,000   | -         | 312,000   |
| 1400000461 | WORLD BANK-HQ                       | OTHER                | OTHER          | 50,000    | -         | 50,000    |
| 1400000862 | UN AFRICAN UNION HYBRID OPERATION I | OTHER                | OTHER          | 257,592   | -         | 257,592   |
| 1400001196 | PEACEBUILDING FUND - UNDP           | OTHER                | OTHER          | 1,000,000 | -         | 1,000,000 |
| 1500000110 | EUROPEAN UNION                      | OTHER                | OTHER          | 24,031    | -         | 24,031    |
| 1500001090 | ASSOCIATION OF SOUTHEAST ASIAN NATI | OTHER                | OTHER          | 1,098,321 | -         | 1,098,321 |
| 1500003574 | EUROPEAN UNION - DELEGATION TO KENY | OTHER                | OTHER          | 3,244     | -         | 3,244     |
| 1500005186 | ARCADIS NEDERLAND BV                | OTHER                | OTHER          | 39,550    | 39,550    | -         |
| 1500008322 | SF OF THE CERF                      | OTHER                | OTHER          | 49,996    | -         | 49,996    |
| 1500009160 | DROSOS FOUNDATION                   | OTHER                | OTHER          | 51,567    | -         | 51,567    |
| 1500011950 | EUROPEAN COMMISSION                 | OTHER                | OTHER          | 56,530    | -         | 56,530    |
| 1500011950 | EUROPEAN COMMISSION                 | OTHER                | OTHER          | 8,113,360 | -         | 8,113,360 |
| 1500013150 | THE ADAPTATION FUND BOARD           | OTHER                | OTHER          | 20,000    | 20,000    | -         |
| 1500013150 | THE ADAPTATION FUND BOARD           | OTHER                | OTHER          | 20,000    | 20,000    | -         |
| 1500015641 | IMMAP FRANCE                        | OTHER                | OTHER          | 1,579,718 | -         | 1,579,718 |
| 1500016685 | CARITAS CZECH REPUBLIC              | Czech Republic       | Czech Republic | 300,070   | -         | 300,070   |
| 1500017236 | ARVET PRODUKTION AB                 | OTHER                | OTHER          | 10,000    | 10,000    | -         |
| 1500017303 | JAMIA MOSQUE COMMITTEE              | OTHER                | OTHER          | 2,965     | 2,965     | -         |



|              |  |         |              |                   |                  |                   |
|--------------|--|---------|--------------|-------------------|------------------|-------------------|
| 1500017346   | BELEMA AID FOUNDATION                  | OTHER   | OTHER        | 12,000            | 12,000           | -                 |
| 1500017372   | KUWAIT SOCIETY FOR RELIEF              | Kuwait  | Kuwait       | 5,700             | -                | 5,700             |
| 1500017523   | AHMED FARID MUSTAPHA CONSULTANTS       | OTHER   | OTHER        | 80,000            | 80,000           | -                 |
| 1500017525   | VANKE FOUNDATION                       | OTHER   | OTHER        | 99,000            | 99,000           | -                 |
| 1300000100   | EUROPEAN COMMISSION                    | OTHER   | OTHER        | 8,350             | -                | 8,350             |
| 1300003747   | GOVERNMENT OF GERMANY                  | Germany | Germany      | 13,445            | 13,445           | -                 |
| 1300003948   | FEDERAL REPUBLIC OF GERMANY            | Germany | Germany      | 200,022           | 200,022          | -                 |
| 1400000020   | UNDP MPTF (Multi-Partner Trust Fund)   | OTHER   | OTHER        | 107,946           |                  | 107,946           |
| 1400000021   | UNDP MPTF (Multi-Partner Trust Fund)   | OTHER   | OTHER        | 211,209           |                  | 211,209           |
| 1400000022   | UNDP MPTF (Multi-Partner Trust Fund)   | OTHER   | OTHER        | 440,594           |                  | 440,594           |
| 1111000083   | PM OF THE HASHEMITE K. OF JORDAN TO UN | Jordan  | ASIA-PACIFIC | 63,924            | 63,924           |                   |
| 1300008579   | CARDIFF UNIVERSITY                     | OTHER   | OTHER        | 20,979            | 20,979           |                   |
| 1300005551   | NEW URBAN COMMUNITIES AUTHORITY        | Egypt   | AFRICA       | 321,000           |                  | 321,000           |
| 1300000100   | EUROPEAN COMMISSION                    | OTHER   | OTHER        | 366,083           |                  | 366,083           |
| 1500017790   | VICTOR WANYAMA FOUNDATION              | OTHER   | OTHER        | 10,000            |                  | 10,000            |
| 1300000100   | EUROPEAN COMMISSION                    | OTHER   | OTHER        | 828               | 828              |                   |
| <b>TOTAL</b> |  |         |              | <b>38,847,208</b> | <b>7,596,213</b> | <b>31,250,995</b> |

\*FNDE, Foundation earmarked; TECH, Technical cooperation

## Annex IV

### Top Ten Donors of UN-Habitat 2016-2020\*

| Core contributions (Regular budget and Foundation non-earmarked contributions) |      |                            |      |                          |      |                          |      |                          |      |
|--|------|----------------------------|------|--------------------------|------|--------------------------|------|--------------------------|------|
| 2016<br>USD 15.9 Million   |      | 2017<br>USD 15.3 Million   |      | 2018<br>USD 14.7 Million |      | 2019<br>USD 18.2 Million |      | 2020*<br>USD 6.4 Million |      |
| Donor  | %    | Donor                      | %    | Donor                    | %    | Donor                    | %    | Donor                    | %    |
| 1 Regular Budget   | 85.3 | 1 Regular Budget           | 83.5 | 1 Regular Budget         | 75.7 | 1 Regular Budget         | 71.8 | 1 Regular Budget         | 90.3 |
| 2 United States of America   | 4.8  | 2 United States of America | 4.5  | 2 Malaysia               | 8.1  | 2 Norway                 | 12.9 | 2 China                  | 5.5  |
| 3 Norway   | 3.8  | 3 Norway                   | 3.9  | 3 United States          | 4.6  | 3 Spain                  | 5.4  | 3 France                 | 3.6  |
| 4 China  | 2.2  | 4 China                    | 2.3  | 4 Norway                 | 4.3  | 4 United States          | 3.0  | 4 Japan                  | 0.5  |
| 5 India  | 1.6  | 5 South Africa             | 1.8  | 5 China                  | 2.4  | 5 China                  | 1.9  | 5 Myanmar                | 0.2  |
| 6 France   | 0.7  | 6 Namibia                  | 1.0  | 6 Kenya                  | 1.4  | 6 France                 | 1.2  |                          |      |
| 7 Republic of Korea  | 0.5  | 7 Japan                    | 0.8  | 7 South Africa           | 1.0  | 7 South Africa           | 0.9  |                          |      |
| 8 Eritrea  | 0.3  | 8 India                    | 0.7  | 8 India                  | 0.7  | 8 India                  | 0.8  |                          |      |
| 9 Zimbabwe   | 0.2  | 9 Republic of Korea        | 0.7  | 9 Republic of Korea      | 0.6  | 9 Republic of Korea      | 0.4  |                          |      |
| 10 Sri Lanka   | 0.2  | 10 Kenya                   | 0.4  | 10 Botswana              | 0.3  | 10 Iraq/Singapore        | 0.5  |                          |      |
| Others   | 0.4  | Others                     | 0.4  | Others                   | 0.9  | Others                   | 1.0  |                          |      |

| Earmarked Contributions (including UN Development Account contributions) |      |                            |      |                            |      |                            |      |                            |      |
|--|------|----------------------------|------|----------------------------|------|----------------------------|------|----------------------------|------|
| 2016<br>USD 210.1 Million  |      | 2017<br>USD 144.2 Million  |      | 2018<br>USD 164.9 Million  |      | 2019<br>USD 155.3 Million  |      | 2020*<br>USD 38.8 Million  |      |
| Donor  | %    | Donor                      | %    | Donor                      | %    | Donor                      | %    | Donor                      | %    |
| 1 United States of America   | 25.6 | 1 European Union           | 14.4 | 1 European Union           | 16.4 | 1 UNDP (incl. one UN fund) | 28.5 | 1 Japan                    | 30.0 |
| 2 Japan  | 10.4 | 2 Japan                    | 10.6 | 2 Japan                    | 13.6 | 2 Japan                    | 15.0 | 2 European Commission      | 22.1 |
| 3 UNDP (incl. one UN fund)   | 8.7  | 3 UNDP (incl. one UN fund) | 8.6  | 3 Switzerland              | 11.0 | 3 Adaptation Fund Board    | 12.6 | 3 United Arab Emirates     | 10.3 |
| 4 Sweden   | 8.6  | 4 Denmark                  | 8.3  | 4 Adaptation Fund Board    | 8.0  | 4 European Union           | 11.6 | 4 UNDP (incl. one UN fund) | 9.0  |
| 5 European Union   | 7.5  | 5 KFW                      | 8.2  | 5 Netherlands              | 6.6  | 5 Mexico                   | 5.8  | 5 UNICEF                   | 4.5  |
| 6 Block by Block   | 5.7  | 6 Sweden                   | 8.2  | 6 United States            | 5.9  | 6 United Kingdom           | 5.5  | 6 IMMAP France             | 4.1  |
| 7 UNOPS  | 4.0  | 7 Germany                  | 4.7  | 7 UNDP (incl. one UN fund) | 5.1  | 7 Bahrain                  | 2.8  | 7 ASEAN Secretariat        | 2.8  |
| 8 United Kingdom   | 4.0  | 8 Here Be Dragons (HBD)    | 3.6  | 8 United Kingdom           | 5.1  | 8 Norway                   | 2.1  | 8 Mozambique               | 2.2  |
| 9 Norway   | 3.0  | 9 Norway                   | 3.1  | 9 Germany                  | 3.3  | 9 IOM                      | 1.6  | 9 Ecuador                  | 1.8  |
| 10 Ecuador   | 2.9  | 10 Republic of Korea       | 3.1  | 10 United Arab Emirates    | 3.1  | 10 Egypt                   | 1.3  | 10 FCO Prosperity Fund     | 1.6  |
| Others   | 19.6 | Others                     | 27.2 | Others                     | 21.9 | Others                     | 13.2 | Others                     | 11.5 |

| Total Contributions        |      |                            |      |                            |      |                            |      |                            |      |
|----------------------------|------|----------------------------|------|----------------------------|------|----------------------------|------|----------------------------|------|
| 2016<br>USD 226.0 Million  |      | 2017<br>USD 159.6 Million  |      | 2018<br>USD 179.7 Million  |      | 2019<br>USD 173.5 Million  |      | 2020*<br>USD 45.2 Million  |      |
| Donor                      | %    | Donor                      | %    | Donor                      | %    | Donor                      | %    | Donor                      | %    |
| 1 United States of America | 24.1 | 1 European Union           | 13.0 | 1 European Union           | 15.0 | 1 UNDP (incl. one UN fund) | 25.5 | 1 Japan                    | 25.8 |
| 2 Japan                    | 9.7  | 2 Japan                    | 9.6  | 2 Japan                    | 12.5 | 2 Japan                    | 13.4 | 2 European Union           | 19.0 |
| 3 UNDP (incl. one UN fund) | 8.1  | 3 Regular Budget           | 8.0  | 3 Switzerland              | 10.1 | 3 Adaptation Fund Board    | 11.3 | 3 Regular Budget           | 12.8 |
| 4 Sweden                   | 8.0  | 4 UNDP (incl. one UN fund) | 7.7  | 4 Adaptation Fund Board    | 7.4  | 4 European Union           | 10.4 | 4 United Arab Emirates     | 8.8  |
| 5 European Union           | 7.0  | 5 Denmark                  | 7.5  | 5 Regular Budget           | 6.2  | 5 Regular Budget           | 7.5  | 5 UNDP (incl. one UN fund) | 7.8  |
| 6 Regular Budget           | 6.0  | 6 KFW                      | 7.4  | 6 Netherlands              | 6.1  | 6 Mexico                   | 5.2  | 6 UNICEF                   | 3.9  |
| 7 Block by Block           | 5.3  | 7 Sweden                   | 7.4  | 7 United States            | 5.8  | 7 United Kingdom           | 4.9  | 7 IMMAP France             | 3.5  |
| 8 UNOPS                    | 3.7  | 8 Germany                  | 4.2  | 8 UNDP (incl. one UN fund) | 4.7  | 8 Norway                   | 3.2  | 8 ASEAN Secretariat        | 2.4  |
| 9 United Kingdom           | 3.7  | 9 Here Be Dragons (HBD)    | 3.2  | 9 United Kingdom           | 4.6  | 9 Bahrain                  | 2.5  | 9 Mozambique               | 1.9  |
| 10 Norway                  | 3.0  | 10 Norway                  | 3.2  | 10 Germany                 | 3.0  | 10 IOM                     | 1.5  | 10 Ecuador                 | 1.5  |
| Others                     | 21.4 | Others                     | 28.8 | Others                     | 24.6 | Others                     | 14.6 | Others                     | 12.6 |

\* The amounts for 2020 are actual amounts as at the reporting date