

# Governing Council of the United Nations Human Settlements Programme

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Agenda item 8

### Work programme of the United Nations Human Settlements Programme and budget of the United Nations Habitat and Human Settlements Foundation for the biennium 2018–2019

## Draft resolution 26/[ ]: Revised strategic plan for 2014–2019 and work programme and budget of the United Nations Human Settlements Programme for the biennium 2018–2019

*The Governing Council,*

*Recalling* General Assembly resolution 69/226 of 19 December 2014, in which the Assembly reaffirmed the outcome document, entitled “The future we want”, of the United Nations Conference on Sustainable Development, held in Rio de Janeiro, Brazil, from 20 to 22 June 2012,<sup>1</sup> in particular paragraphs 134 to 137 on sustainable cities and human settlements, in which, inter alia, it was recognized that cities are engines of economic growth which, if well planned and developed, including through integrated planning and management approaches, can promote economically, socially and environmentally sustainable societies, as well as the importance of integrated approaches that enhance overall coherence, promote effective rural-urban linkages and improve the quality of human settlements, including the living and working conditions of both urban and rural dwellers in the context of poverty eradication and achieving sustainable development so that all people have access to basic services, housing and mobility,

*Recalling also* General Assembly resolution 70/1 of 21 October 2015, entitled “Transforming our world: the 2030 Agenda for Sustainable Development”, in which the Assembly adopted 17 Sustainable Development Goals with 169 associated targets that are integrated and indivisible, including Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable, and also recalling the Addis Ababa Action Agenda of the Third International Conference on Financing for Development, the Paris Agreement under the United Nations Framework Convention on Climate Change and the Sendai Framework for Disaster Risk Reduction 2015–2030,

*Welcoming* the outcome document of the United Nations Conference on Housing and Sustainable Urban Development (Habitat III) held in Quito from 17 to 20 October 2016, entitled “New Urban Agenda”, which reaffirms the global commitment to sustainable urban and territorial development as a critical step for realizing sustainable development in an integrated and coordinated manner at the global, regional, national, subnational and local levels, with the participation of all relevant actors,

*Welcoming also* paragraph 172 of the New Urban Agenda, in which Heads of State and Government, Ministers and high representatives gathered at Habitat III requested the Secretary-General of the United Nations to submit to the General Assembly during its seventy-first session an evidence-based and independent assessment of UN-Habitat, with the result of the assessment being a report containing recommendations to enhance the effectiveness, efficiency, accountability and oversight of UN-Habitat for consideration by Member States,

*Welcoming further* General Assembly resolution 71/235, on the implementation of the outcome of the United Nations Conference on Housing and Sustainable Urban Development

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<sup>1</sup> General Assembly resolution 66/288, annex.

(Habitat III) and strengthening of the United Nations Human Settlements Programme (UN-Habitat), which encouraged the Secretary-General, pursuant to paragraphs 171 and 172 of the New Urban Agenda, to take all appropriate measures to ensure that the assessment of UN-Habitat was carried out in a fair, objective, impartial and representative manner, and decided that the report of the assessment should be presented in a timely manner,

*Taking note* of the progress made to date in the implementation of the strategic plan for 2014–2019, as reported through the annual progress report,<sup>2</sup> and the findings of United Nations-mandated evaluations of activities of UN-Habitat,

*Recalling* paragraphs 56 (d) and 95 of the strategic plan, on the revision of the plan on the basis of the New Urban Agenda,

*Noting* that the midterm evaluation of the implementation of the UN-Habitat strategic plan was officially distributed to member States only on 3 May 2017 and that, therefore, the revised strategic plan for 2014–2019 has not yet been adjusted on the basis of the result of the midterm evaluation,

*Recalling* General Assembly resolution 67/226 of 21 December 2012, on the quadrennial comprehensive policy review of operational activities for development of the United Nations system, in which the Assembly, while noting that non-core resources represented an important contribution to the overall resource base of the United Nations development system and complemented core resources to support operational activities for development, recognized that non-core resources posed challenges and might distort programme priorities regulated by intergovernmental bodies and processes,

*Recalling also* General Assembly resolution 69/226, in which the Assembly recognized that, over the years, the responsibilities of UN-Habitat had changed considerably in their scope and complexity and that the requirement to provide substantive and technical support to developing countries had changed in areas related to sustainable cities and human settlements, as reflected in the strategic plan for 2014–2019,

*Having considered* the revised strategic plan for the period 2014–2019 and the proposed work programme and budget of the United Nations Habitat and Human Settlements Foundation for the biennium 2018–2019<sup>3</sup> and the recommendations set forth in the report of the Advisory Committee on Administrative and Budgetary Questions,<sup>4</sup>

1. *Approves* the revised strategic plan for 2014–2019 and the proposed work programme and budget for 2018–2019, taking into account the relevant decisions of the Governing Council;
2. *Urges* the Executive Director to adjust the strategic plan on the basis of the results of the midterm evaluation and to present it without delay to the Committee of Permanent Representatives for review and approval;
3. *Requests* the Executive Director, within available resources and within the existing mandate of UN-Habitat to engage member States in close coordination with the United Nations system, local authorities, major groups and other stakeholders in the further development of the action framework for the implementation of the New Urban Agenda and, in consultation with the Committee of Permanent Representatives and with its approval, to ensure the coherence of this process with the strategic plan for 2014–2019 and the elaboration of the strategic plan for 2020–2025,
4. *Approves* the foundation general purpose budget of 26,060,700 United States dollars and endorses the foundation special purpose budget of 139,894,400 United States dollars for the biennium 2018–2019, and notes estimated technical cooperation funding of 314,418,600 United States dollars, as detailed in the proposed work programme and budget for 2018–2019 as summarized in the table annexed to the present resolution;
5. *Also approves* the revision of the general reserve to 10 per cent of the foundation general purpose budget as approved in paragraph 4 of the present resolution;
6. *Notes* the need to continue mobilizing resources for UN-Habitat, within the financial regulations and rules of the United Nations, and urges the Executive Director to adopt effective measures and to enhance efforts to broaden the donor base of the foundation general-purpose budget, in consultation with member States and in accordance with the Programme's resource mobilization strategy;

<sup>2</sup> HSP/GC/26/INF.7.

<sup>3</sup> HSP/GC/26/6.

<sup>4</sup> HSP/GC/26/6/Add.1.

7. *Requests* the Executive Director to consult and work closely with the Committee of Permanent Representatives on the implementation of General Assembly decisions regarding the evidence-based and independent assessment of UN-Habitat as it applies to the work programme and budget and to report to the Governing Council at its twenty-seventh session on the progress made in the implementation of the recommendations;
8. *Also requests* the Executive Director to consult and work closely with the Committee of Permanent Representatives during the preparation of a results oriented six-year strategic plan for 2020–2025 and present it to the Governing Council at its twenty-seventh session;
9. *Further requests* the Executive Director to consult and work closely with the Committee of Permanent Representatives during the preparation of the biennial results-based strategic framework and work programme and budget documents for the biennium 2020–2021;
10. *Calls upon* the Executive Director to report bi-annually to member States and, in consultation with the Committee of Permanent Representatives, to the Governing Council at its twenty-seventh session on progress made in resource mobilization, outcome-level performance, financial status and expenditures, the implementation of the strategic plan and the work programme and budget, including evaluation in line with the results-based management framework;
11. *Requests* the Executive Director, to consult and work closely with the Committee of Permanent Representatives, to review the existing financial and programme performance reporting with a view to consolidating and streamlining the reports in an accountable and transparent manner;
12. *Also requests* the Executive Director to continue to mainstream cross-cutting issues in the programmes, projects and activities of UN-Habitat, in line with its mandate, and to allocate resources accordingly in consultation with member States;
13. *Further requests* the Executive Director to continue to strengthen the implementation of results-based management in the programmes, projects, policies and activities of UN-Habitat;
14. *Requests* the Executive Director, within existing resources, to include managerial issues and internal controls in its current annual reporting cycle to the Committee of the Permanent Representatives including complying with ST/SGB/2007/11 Secretary-General's bulletin "United Nations system-wide application of ethics: separately administered organs and programmes", among others;
15. *Calls upon* the Executive Director to work with the Committee of the Permanent Representatives to develop and enhance qualitative performance indicators of management practices, taking into considerations, the report, mandated by paragraph 172 of the New Urban Agenda, containing recommendations to enhance the effectiveness, efficiency, accountability and oversight of UN-Habitat; as well as best practices and performance indicators within the larger United Nations system, and to include these performance indicators in the next programme of work and budget and the strategic plan;
16. *Also calls upon* the Executive Director to submit a report to the Committee of the Permanent Representatives prior to making any operational and/or programme changes requiring additional resources;
17. *Authorizes* the Executive Director to reallocate resources between subprogramme budget lines up to a maximum of 5 per cent of the subprogramme appropriations and to inform the Committee of Permanent Representatives thereof, and in duly justified exceptional circumstances to reallocate in excess of 5 per cent and up to 10 per cent of the appropriations from which resources are reallocated after prior consultation with and approval by the Committee of Permanent Representatives;
18. *Also authorizes* the Executive Director to adjust, in consultation with the Committee of Permanent Representatives, the level of allocations to subprogrammes, bringing them into line with possible variations in income compared with the approved level of appropriations;
19. *Reiterates* its call to all Member States and stakeholders for financial support to UN-Habitat through increased voluntary contributions, subject to United Nations rules and regulations, and encourages more Member States and stakeholders to accord priority, when possible, to contributions to the foundation general-purpose fund in order to provide predictable multi-year funding to support the implementation of the strategic plan for 2014–2019 and the programme of work for 2018–2019;
20. *Requests* the Executive Director to report to the Governing Council at its twenty-seventh session on the implementation by UN-Habitat of General Assembly resolution 67/226;

21. *Also requests* the Executive Director to intensify his efforts to realize, demonstrate and effectively communicate the expected results and impact of the programme objectives of UN-Habitat and for the efficient, effective and transparent use of resources to that end, subject to United Nations processes of review, evaluation and oversight;

22. *Further requests* the Executive Director to report annually to member States and, in consultation with the Committee of Permanent Representatives, to the Governing Council at its twenty-seventh session on progress in implementing the recommendations of internal and external evaluations and audits, as contained in the reports of both the internal and independent oversight bodies of the United Nations;

23. *Requests* the Executive Director to continue to ensure that trust funds and earmarked contributions to UN-Habitat are used to fund activities that are in line with the programme of work and the strategic plan, including the normative mandate of UN-Habitat;

24. *Requests* the Executive Director to submit to the Governing Council for approval at its twenty-seventh session, in consultation with the Committee of Permanent Representatives, a streamlined programme of work and budget for the biennium 2020–2021 that monitors and manages the share of resources devoted respectively to administrative costs and both normative and operational programme activities, with a detailed breakdown of non-post requirements by item of expenditure, while clearly according and justifying priority to the application of the resources to programme activities that are in line with the New Urban Agenda and the urban dimension of the Sustainable Development Goals;

25. *Requests* the Executive Director to ensure appropriate consultations with the Committee of Permanent Representatives on the strategic frameworks and work programmes, including on any proposed changes to those documents, throughout the intersessional period;

26. *Requests* the Executive Director to report to the Governing Council at its twenty-seventh session on the implementation of the present resolution.

## Annex

	<i>Strategic priorities</i>	<i>Urban legislation, land and governance</i>	<i>Urban planning and design</i>	<i>Urban economy and municipal finance</i>	<i>Urban basic services</i>	<i>Housing and slum upgrading</i>	<i>Risk reduction and rehabilitation</i>	<i>Research and capacity development</i>	<i>Executive direction and management and policymaking organs</i>	<i>Programme support</i>	<i>Total</i>
2016-2017 approved appropriation	Source of funds										
	Regular budget	2 138.6	2 258.6	2 972.8	2 096.1	840.6	906.7	3 833.4	5 636.0	1 842.5	<b>22 525.3</b>
	Foundation general purpose	3 113.2	4 236.9	3 348.6	3 889.8	3 637.6	4 426.2	4 453.5	13 775.7	4 736.0	<b>45 617.5</b>
	Foundation special purpose	14 591.7	22 478.6	5 166.6	33 414.4	6 593.3	1 001.4	5 002.7	10 374.3	2 674.5	<b>101 297.5</b>
	Technical cooperation	45 027.4	41 350.9	28 003.5	26 976.5	77 749.0	76 179.0	14 135.2	3 060.0	427.4	<b>312 909.0</b>
	<b>Total</b>	<b>64 870.9</b>	<b>70 325.1</b>	<b>39 491.5</b>	<b>66 376.9</b>	<b>88 820.5</b>	<b>82 513.3</b>	<b>27 424.8</b>	<b>32 846.0</b>	<b>9 680.4</b>	<b>482 349.4</b>
	Expenditure category										
Post	5 412.6	6 140.5	5 811.3	6 920.0	7 351.8	8 505.3	6 660.2	17 238.4	7 522.7	<b>71 562.8</b>	
Non-post	59 458.3	64 184.6	33 680.2	59 456.9	81 468.7	74 008.0	20 764.6	15 607.6	2 157.7	<b>410 786.6</b>	
<b>Total</b>	<b>64 870.9</b>	<b>70 325.1</b>	<b>39 491.5</b>	<b>66 376.9</b>	<b>88 820.5</b>	<b>82 513.3</b>	<b>27 424.8</b>	<b>32 846.0</b>	<b>9 680.4</b>	<b>482 349.4</b>	
2018-2019 estimates	Source of funds										
	Regular budget	1 959.6	2 066.0	2 725.4	1 922.7	764.2	824.1	3 506.8	3 965.8	1 686.9	<b>19 421.5</b>
	Foundation general purpose	1 513.5	3 133.8	1 507.2	2 041.3	1 530.7	1 728.5	1 462.4	8 496.1	4 647.2	<b>26 060.7</b>
	Foundation special purpose	21 158.9	25 978.7	10 325.6	27 851.9	11 125.4	13 229.9	7 745.7	14 432.1	8 046.2	<b>139 894.4</b>
	Technical cooperation	36 872.0	39 877.7	40 673.3	39 835.3	54 101.9	69 199.0	26 836.8	3 163.9	3 858.6	<b>314 418.6</b>
	<b>Total</b>	<b>61 504.0</b>	<b>71 056.3</b>	<b>55 231.4</b>	<b>71 651.2</b>	<b>67 522.2</b>	<b>84 981.6</b>	<b>39 551.7</b>	<b>30 057.8</b>	<b>18 238.9</b>	<b>499 795.2</b>
	Expenditure category										
Post	3 517.3	5 093.9	4 258.4	4 255.0	4 769.7	5 427.0	3 804.0	11 740.7	12 265.5	<b>55 131.5</b>	
Non-post	57 986.7	65 962.4	50 973.0	67 396.2	62 752.5	79 554.5	35 747.7	18 317.1	5 973.5	<b>444 663.7</b>	
<b>Total</b>	<b>61 504.0</b>	<b>71 056.3</b>	<b>55 231.4</b>	<b>71 651.2</b>	<b>67 522.2</b>	<b>84 981.6</b>	<b>39 551.7</b>	<b>30 057.8</b>	<b>18 238.9</b>	<b>499 795.2</b>	